

# Hamiltonian simulation with nearly optimal dependence on all parameters

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# Quantum simulation by quantum walks

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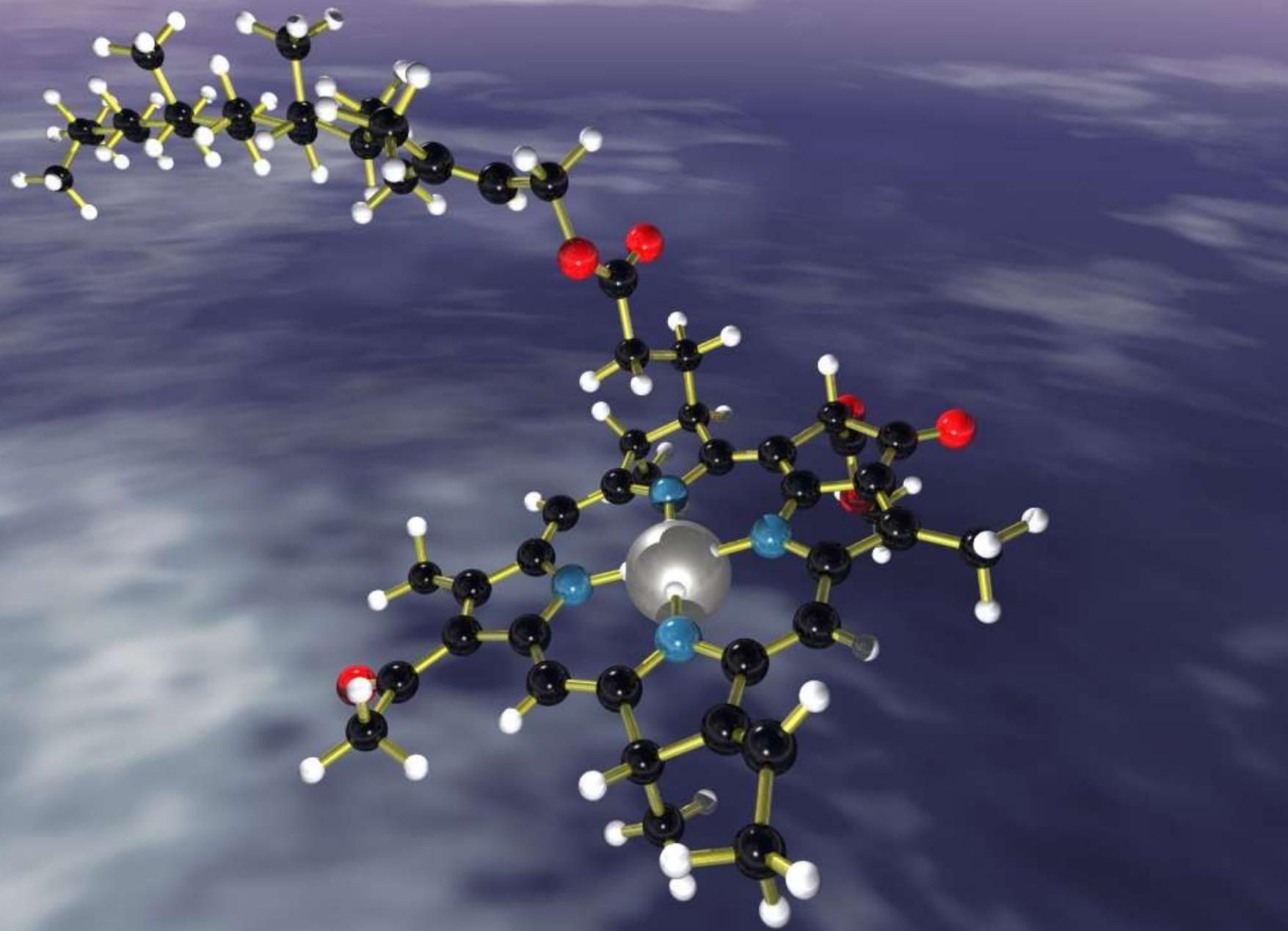
Richard Cleve



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# Simulation of Hamiltonians



# Outline

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- History of quantum simulation
- Definition of problem
- Main result
- Standard method
- New techniques

Quantum walks (2012)

Compressed product formulae (2013)  
Implementing Taylor series (2014)

Superposition of quantum walk steps (2014)



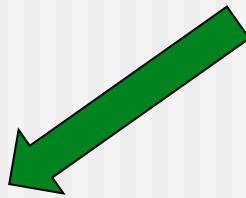
# Simulation of Hamiltonians



Richard Feynman  
1981: Idea of quantum computer



Seth Lloyd  
1996: Algorithm to simulate  
interaction Hamiltonians



Aharonov + Ta-Shma  
2003: Algorithm to simulate  
sparse Hamiltonians

# Simulation of Hamiltonians



Aharonov + Ta-Shma

2003: Algorithm to simulate  
sparse Hamiltonians

Harrow, Hassidim, Lloyd  
2009: Quantum algorithm  
to solve linear systems

Childs, Cleve, Jordan, Yonge-Mallo  
2009: Quantum algorithm for  
NAND trees

Berry  
2014: Quantum algorithm  
for differential equations

Clader, Jacobs, Sprouse  
2013: Quantum algorithm  
for scattering problems

# The simulation problem

**Problem:** Given a Hamiltonian  $H$ , simulate

$$\frac{d}{dt'} |\psi\rangle = -iH(t')|\psi\rangle$$

for time  $t$  and error no more than  $\varepsilon$ .

**Inputs:**  $H$ ,  $t$  and  $\varepsilon$ .

Parameters of  $H$ :

- $d$  – sparseness
- $N$  – dimension
- $\|H\|$  – norm of the Hamiltonian
- $\|H'\|$  – norm of the time-derivative

# Main result

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$$O(\tau \times \text{polylog})$$
$$\tau = d\|H\|_{\max} t$$

Queries:

$$O\left(\tau \frac{\log(\tau/\varepsilon)}{\log \log(\tau/\varepsilon)}\right)$$

Gates:

$$O\left(\tau \frac{\log^2(\tau/\varepsilon)}{\log \log(\tau/\varepsilon)}\right)$$

# Comparison to prior work

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$$O(\tau \times \text{polylog})$$
$$\tau = d\|H\|_{\max} t$$

1. Lloyd 1996:  $\text{poly}(d, \log N) \times \|Ht\|^2 / \varepsilon$
2. Aharonov & TaShma 2003:  $\text{poly}(d, \log N) \times \|Ht\|^{3/2} / \varepsilon^{1/2}$
3. Berry, Cleve, Ahokas, Sanders 2007:  $(d^4 \|Ht\| \log^* N)^{1+\delta} (1/\varepsilon)^\delta$
4. Childs & Kothari 2011:  $(d^3 \|Ht\| \log^* N)^{1+\delta} (1/\varepsilon)^\delta$
5. Berry & Childs 2012:  $d\|H\|_{\max} t / \varepsilon^{1/2}$
6. Berry, Childs, Cleve, Kothari, Somma 2013:  $d^2 \|H\|_{\max} t \times \text{polylog}$

# Comparison to lower bound

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Upper bound:

$$O(\tau \times \text{polylog})$$
$$\tau = d\|H\|_{\max}t$$

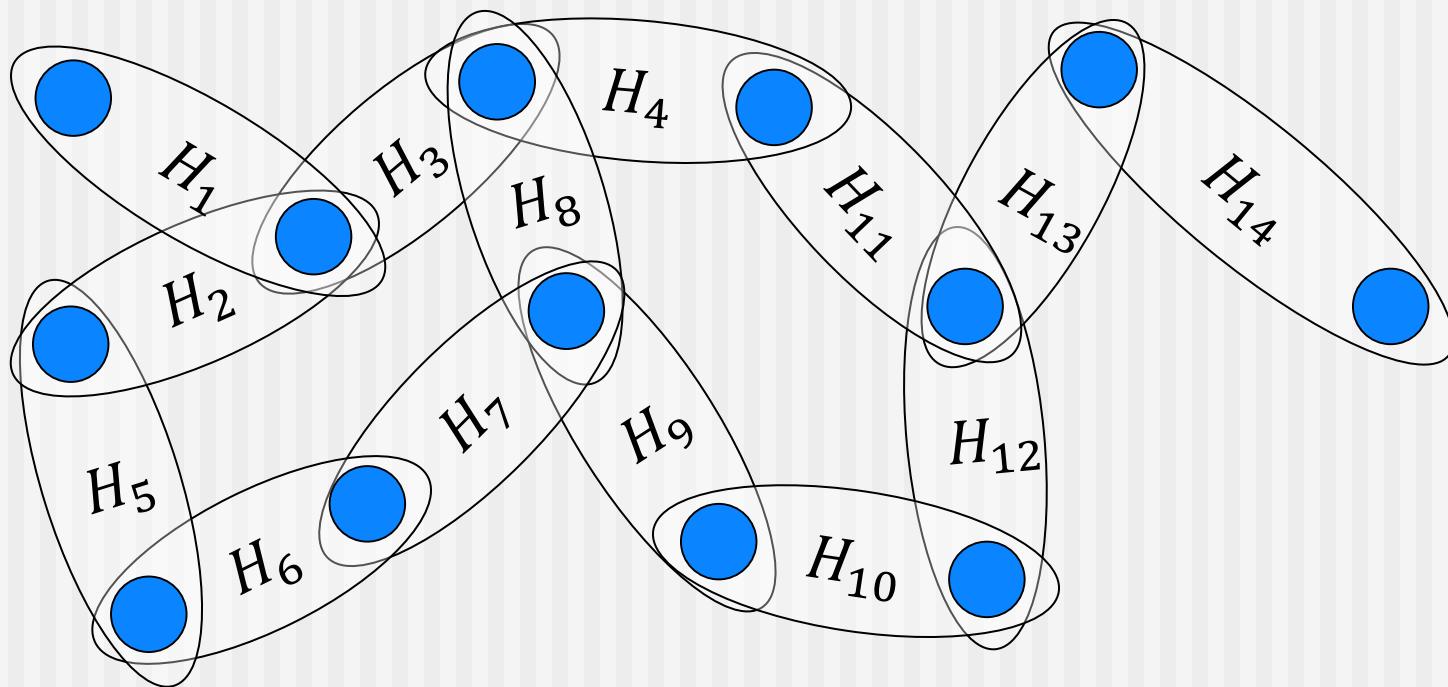
Lower bound:

$$O(\tau + \text{polylog})$$
$$\tau = d\|H\|_{\max}t$$

# Model

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## Local interactions



$$H = H_1 + H_2 + H_3 + H_4 + \dots$$

# Model

## Sparse Hamiltonians

$$H = \begin{pmatrix} 0 & 0 & 2 & 0 & 0 & \sqrt{2}i & \cdots & 0 \\ 0 & 3 & 0 & 0 & 0 & 1/2 & \cdots & 0 \\ 2 & 0 & 0 & 0 & 0 & 0 & \cdots & -\sqrt{3} + i \\ 0 & 0 & 0 & 1 & e^{i\pi/7} & 0 & \cdots & 0 \\ 0 & 0 & 0 & e^{-i\pi/7} & 2 & 0 & \cdots & 0 \\ -\sqrt{2}i & 1/2 & 0 & 0 & 0 & 0 & \cdots & 0 \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & -\sqrt{3} - i & 0 & 0 & 0 & \cdots & 1/10 \end{pmatrix}$$

- **Query:** An efficient algorithm to determine the positions and values of non-zero entries.
- Includes local interactions as a special case.

# Standard method

- Use decomposition as

$$H = \sum_{k=1}^M H_k$$

- Approximate evolution for short time as

$$e^{-iHt} \approx \prod_{k=1}^M e^{-iH_k t}$$

- For longer times, divide up into many short times

$$e^{-iHt} \approx \left( \prod_{k=1}^M e^{-iH_k t/r} \right)^r$$

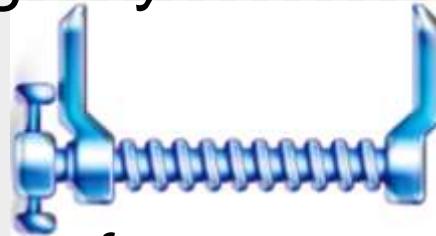


# Advanced methods

## A. Quantum walks (2012)



## B. Compressed product formulae (2013) / Implementing Taylor series (2014)



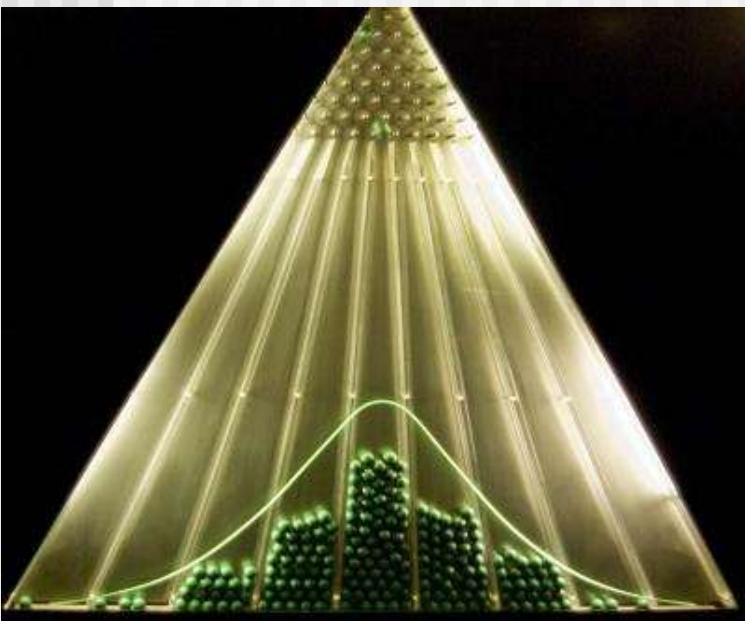
## C. Superposition of quantum walk steps (2014)



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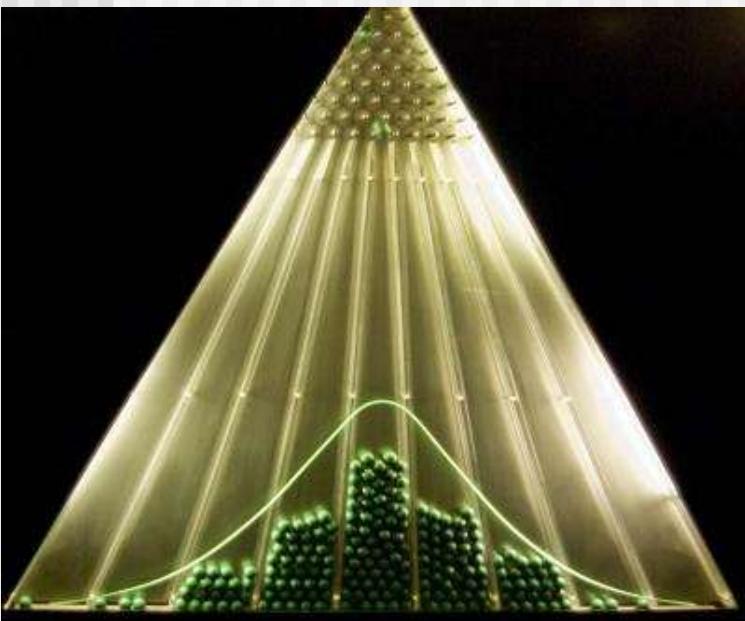
# Quantum walks



- Classical walk: position  $x$  jumps either to the left or the right at each step.
- Quantum walk has position and coin values  $|x, c\rangle$
- It then alternates coin and step operators,  
 $C|x, \pm 1\rangle = (|x, -1\rangle \pm |x, 1\rangle)/\sqrt{2}$   
 $S|x, c\rangle = |x + c, c\rangle$
- The position can progress linearly in the number of steps.



# Quantum walks



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- The position can progress linearly in the number of steps.



- Szegedy quantum walk allows arbitrary dimensions,  $n$  and  $m$  on the two subsystems.
- Szegedy quantum walk uses more general controlled “diffusion” operators.

# Szegedy quantum walk

- The “diffusion” operators are of the form

$$2CC^\dagger - \mathbb{I}$$

$$2RR^\dagger - \mathbb{I}$$

- $C$  is controlled by the first register and acts on the second register.
- The operator  $C$  is a controlled reflection.

$$C = \sum_{i=1}^n |i\rangle\langle i| \otimes |c_i\rangle$$

$$|c_i\rangle = \sum_{j=1}^m \sqrt{c[i,j]} |j\rangle$$

- The diffusion operator  $2RR^\dagger - \mathbb{I}$  is controlled by the second register and acts on the first.



# Szegedy walk for Hamiltonians



- Use symmetric system, with  $n = m$  and

$$c[i, j] = r[i, j] = H_{ij}^*$$

- The step of the quantum walk is ( $S$  is swap)

$$V = iS(2CC^\dagger - \mathbb{I})$$

- Eigenvalues and eigenvectors are related to those of Hamiltonian.

- We need to modify to “lazy” quantum walk, with

$$|c_i\rangle = \sqrt{\frac{\delta}{\|H\|_1}} \sum_{j=1}^N \sqrt{H_{ij}^*} |j\rangle + \sqrt{1 - \frac{\sigma_i \delta}{\|H\|_1}} |N+1\rangle$$

$$\sigma_i := \sum_{j=1}^N |H_{ij}|$$

extra  
component

# State preparation



- Grover state preparation starts from

$$\frac{1}{\sqrt{N}} \sum_{k=1}^N |k\rangle|0\rangle$$

- Rotate ancilla according to amplitude for state to be prepared

$$|\psi^b\rangle = \frac{1}{\sqrt{N}} \sum_{k=1}^N |k\rangle \left( \psi_k |0\rangle + \sqrt{1 - |\psi_k|^2} |1\rangle \right)$$

- Amplitude amplification yields component where ancilla is zero.
- In comparison, state we wish to prepare is

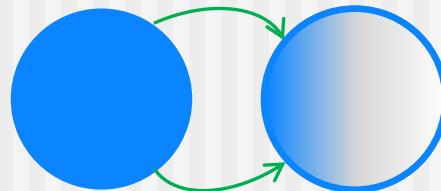
$$|c_i\rangle = \sqrt{\frac{\delta}{\|H\|_1}} \sum_{j=1}^N \sqrt{H_{ij}^*} |j\rangle + \sqrt{1 - \frac{\sigma_i \delta}{\|H\|_1}} |N+1\rangle$$

- We can just use one iteration!

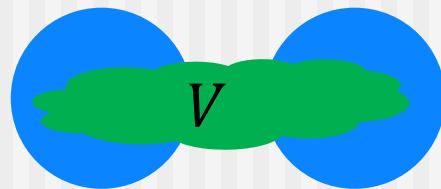
# Szegedy walk for Hamiltonians

Three step process:

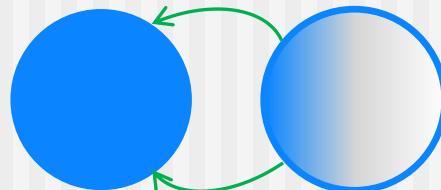
1. Start with state in one of the subsystems, and perform controlled state preparation.



2. Perform steps of quantum walk to approximate Hamiltonian evolution.

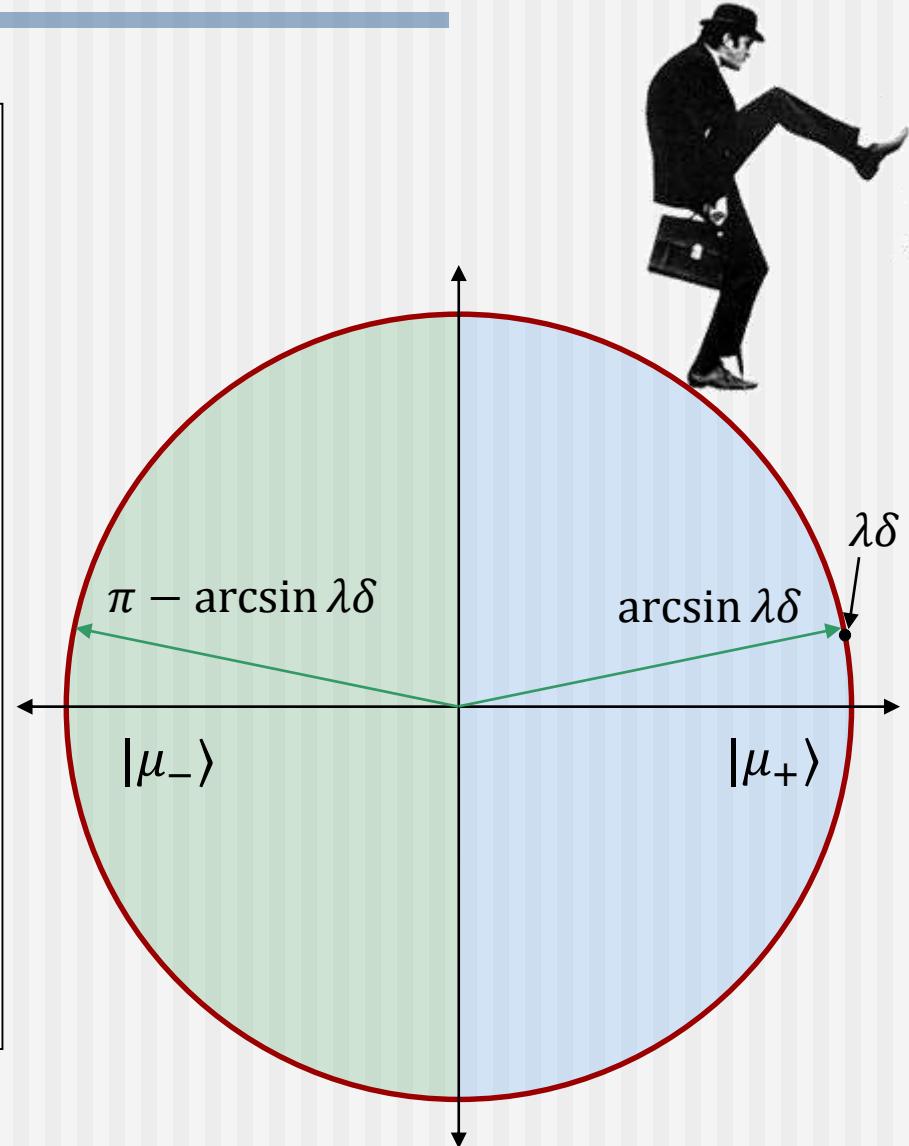


3. Invert controlled state preparation, so final state is in one of the subsystems.



# Szegedy walk for Hamiltonians

- A Hamiltonian  $H$  has eigenvalues  $\lambda$ .
- $V$  is the step of a quantum walk, and has eigenvalues  $\mu_{\pm} = \pm e^{\pm i \arcsin \lambda \delta}$
- We aim to achieve evolution under the Hamiltonian. It has eigenvalues  $e^{-i\lambda t}$



# Advanced methods

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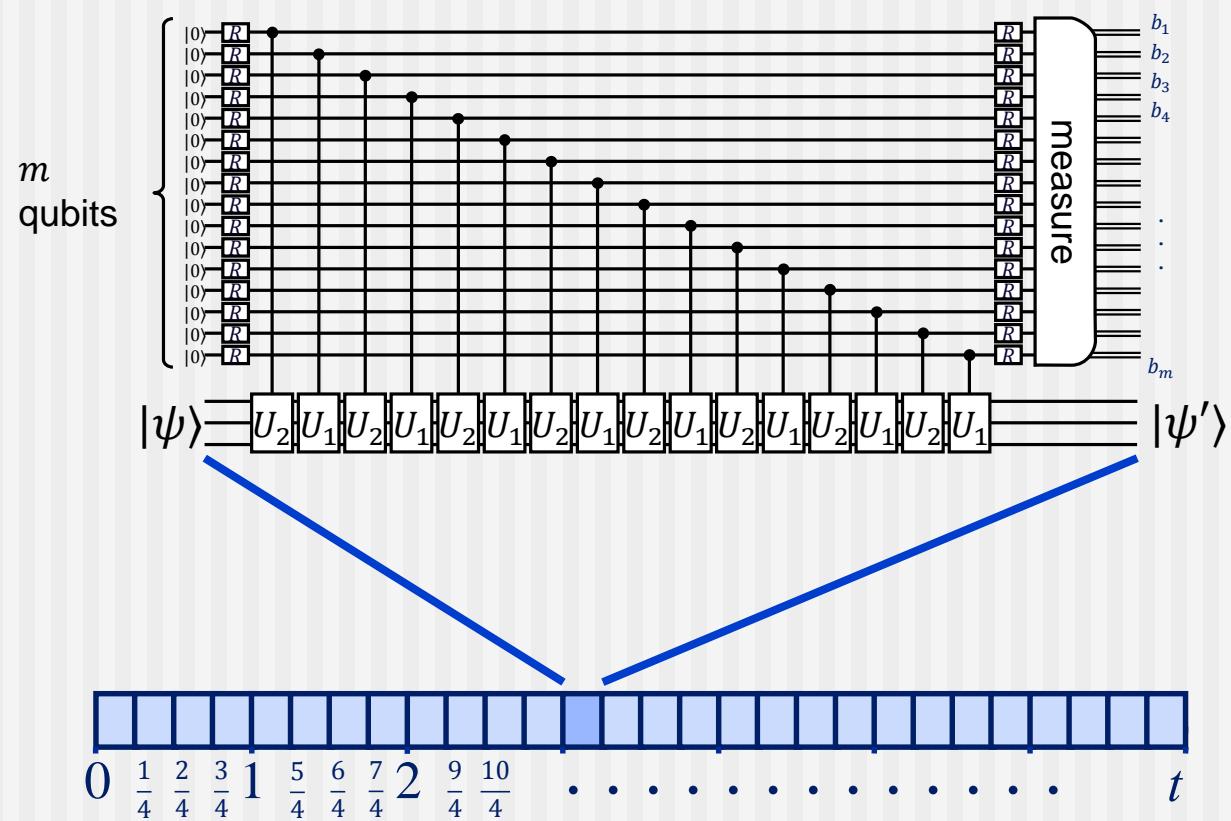
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# Compressed product formulae

1. Decompose Hamiltonian into a sum of self-inverse Hamiltonians.
2. Approximate Hamiltonian evolution by Lie-Trotter formula, then compress it.
3. Use oblivious amplitude amplification.



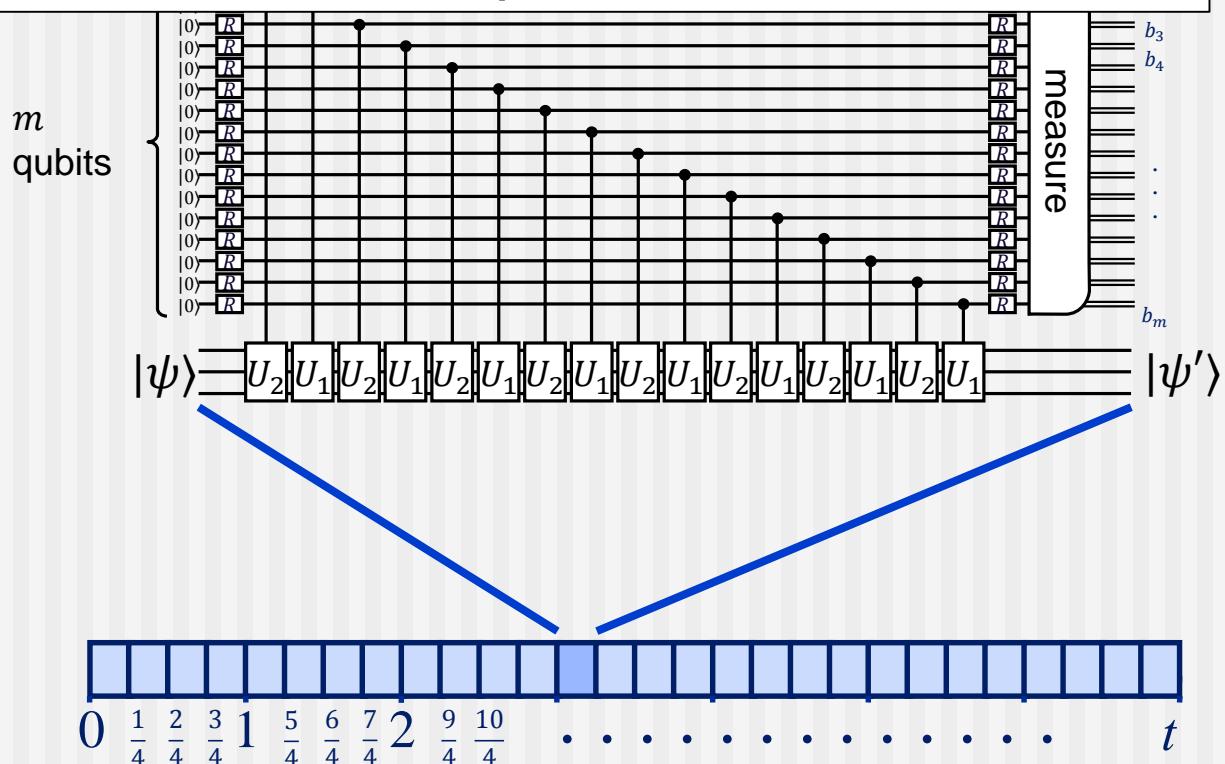
# Compressed product formulae

1. Decompose Hamiltonian into a sum of self-inverse Hamiltonians.

2. Approximate Hamiltonian into 1-sparse formula, then compute

1. Decompose Hamiltonian into 1-sparse.
2. Break 1-sparse into X, Y, Z parts.
3. Break X, Y, Z parts into self-inverse.

3. Use oblivious amplitude amplification.



# Decompose Hamiltonian to 1-sparse

- Decompose Hamiltonian into  $H_1$  and  $H_2$ :

$$H = \begin{pmatrix} 0 & 0 & 2 & 0 & 0 & \sqrt{2}i & \cdots & 0 \\ 0 & 3 & 0 & 0 & 0 & 1/2 & \cdots & 0 \\ 2 & 0 & 0 & 0 & 0 & 0 & \cdots & -\sqrt{3} + i \\ 0 & 0 & 0 & 1 & e^{i\pi/7} & 0 & \cdots & 0 \\ 0 & 0 & 0 & e^{-i\pi/7} & 2 & 0 & \cdots & 0 \\ -\sqrt{2}i & 1/2 & 0 & 0 & 0 & 0 & \cdots & 0 \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & -\sqrt{3} - i & 0 & 0 & 0 & \cdots & 1/10 \end{pmatrix}$$

- No more than  $d$  nonzero elements in any row or column.
- In general can decompose into  $d^2$  parts.

# Decompose Hamiltonian to 1-sparse

- Decompose Hamiltonian into  $H_1$  and  $H_2$ :

$$H_1 = \begin{pmatrix} 0 & 0 & 0 & 0 & 0 & \sqrt{2}i & \cdots & 0 \\ 0 & 3 & 0 & 0 & 0 & 0 & \cdots & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & \cdots & -\sqrt{3} + i \\ 0 & 0 & 0 & 1 & 0 & 0 & \cdots & 0 \\ 0 & 0 & 0 & 0 & 2 & 0 & \cdots & 0 \\ -\sqrt{2}i & 0 & 0 & 0 & 0 & 0 & \cdots & 0 \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & -\sqrt{3} - i & 0 & 0 & 0 & \cdots & 0 \end{pmatrix}$$

- No more than  $d$  nonzero elements in any row or column.
- In general can decompose into  $d^2$  parts.

# Decompose 1-sparse to X, Y, Z

- Break into  $X$ ,  $Y$  and  $Z$  components:

$$H_1 = \begin{pmatrix} 0 & 0 & 0 & 0 & 0 & \sqrt{2}i & \dots & 0 \\ 0 & 3 & 0 & 0 & 0 & 0 & \dots & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & \dots & -\sqrt{3}+i \\ 0 & 0 & 0 & 0 & 0 & 0 & \dots & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & \dots & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & \dots & 0 \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & -\sqrt{3}-i & 0 & 0 & 0 & \dots & 0 \end{pmatrix}$$

off-diagonal imaginary      
 on-diagonal real      
 off-diagonal real

+ break into  $\gamma$ -size pieces to get self-inverse

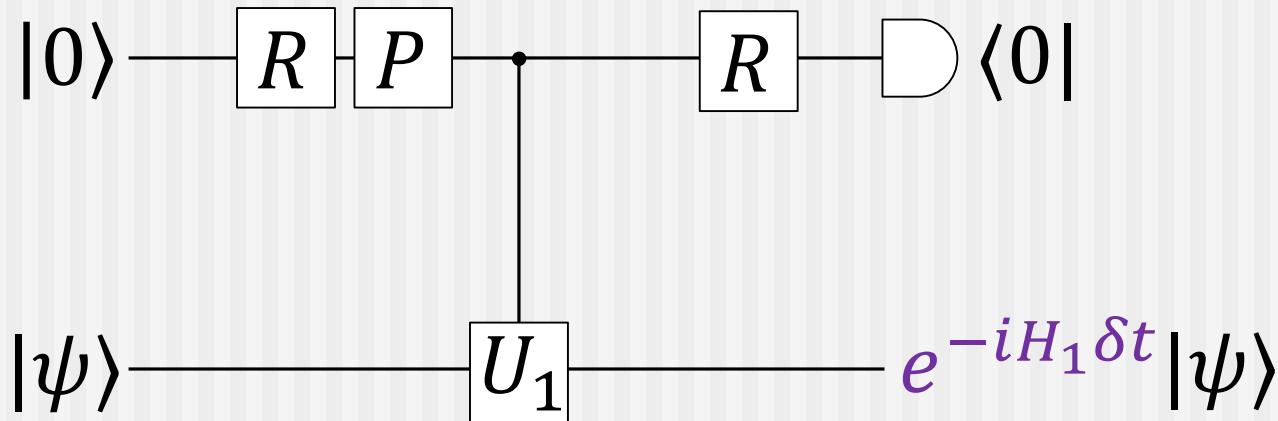
# Net result

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$$H = \gamma \sum_{j=1}^M U_j$$

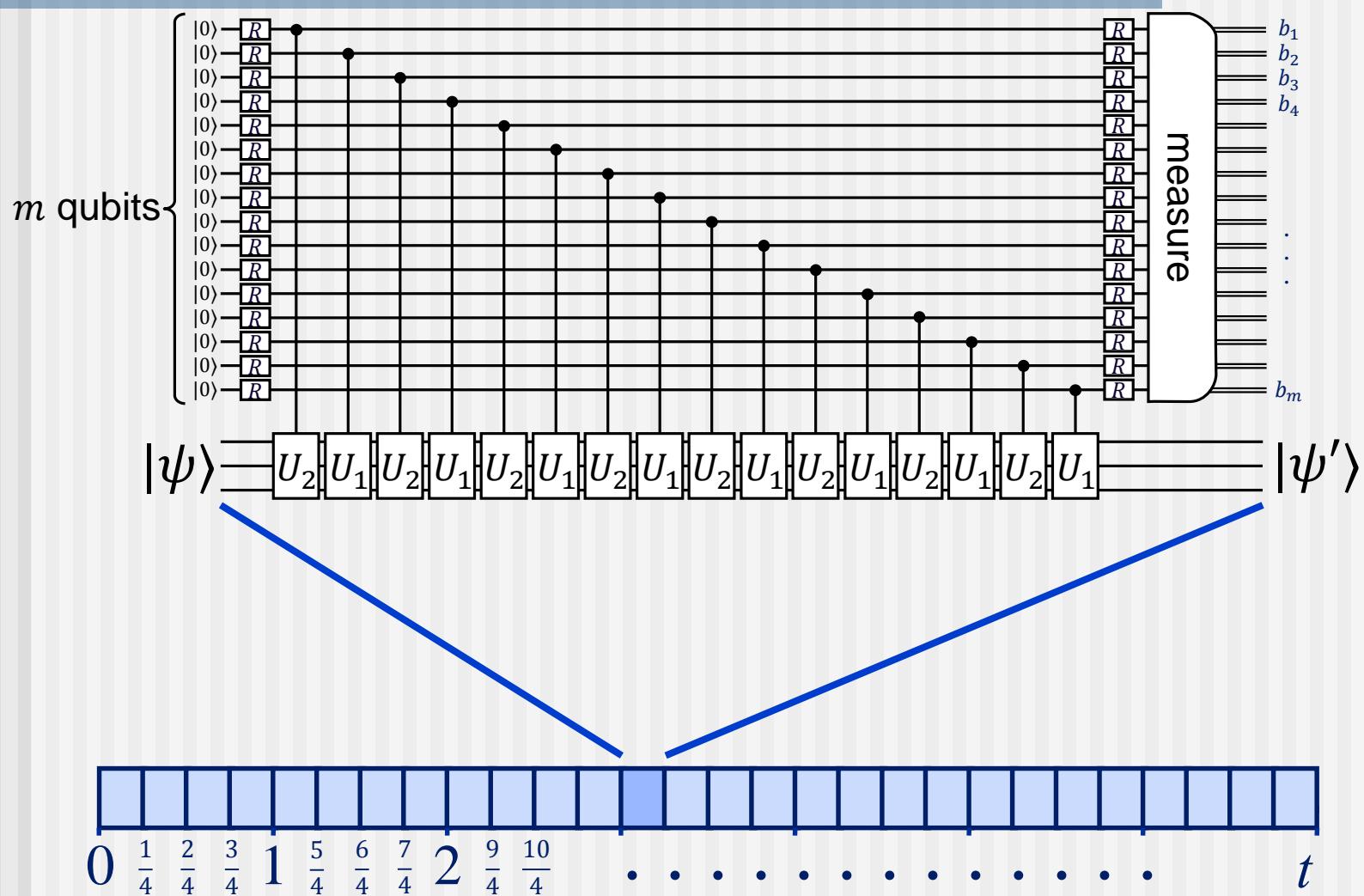
# Evolution using control qubits

- $H_1 = \gamma U_1$
- $U_1$  is self-inverse

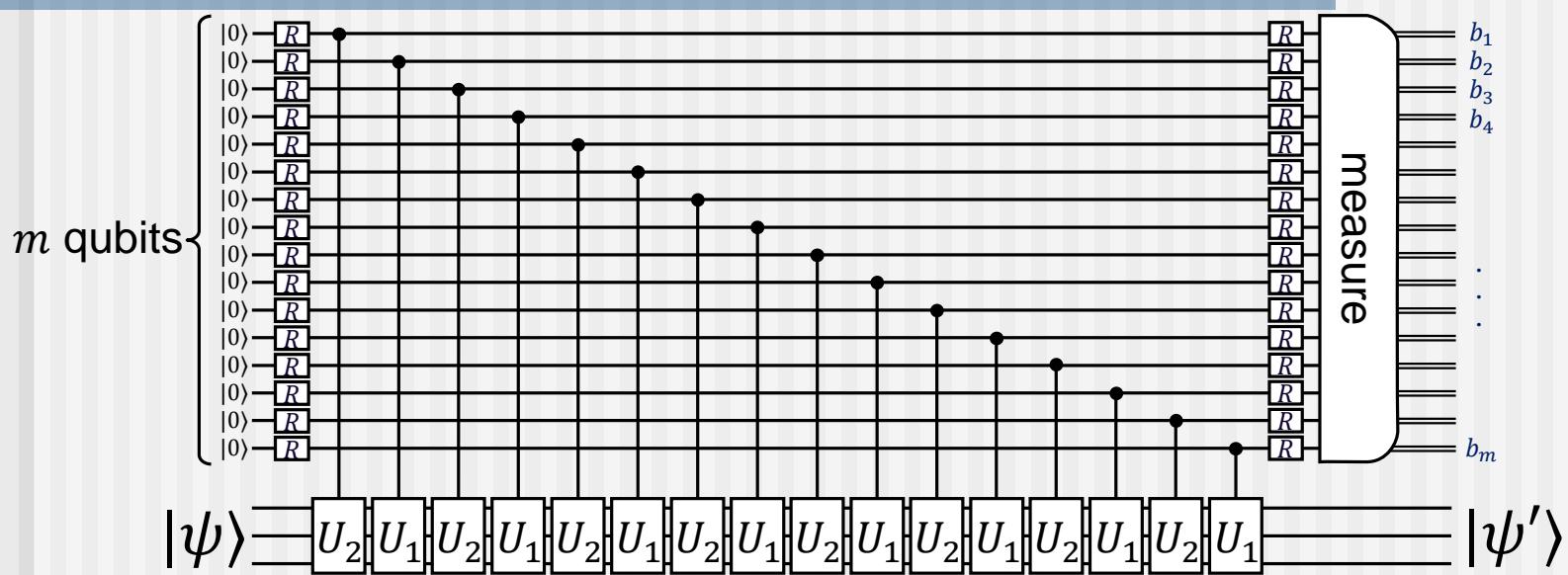


$$R = \begin{pmatrix} \alpha & \beta \\ \beta & -\alpha \end{pmatrix} \quad P = \begin{pmatrix} 1 & 0 \\ 0 & -i \end{pmatrix}$$

# Simulation of segments



# Simulation of segments



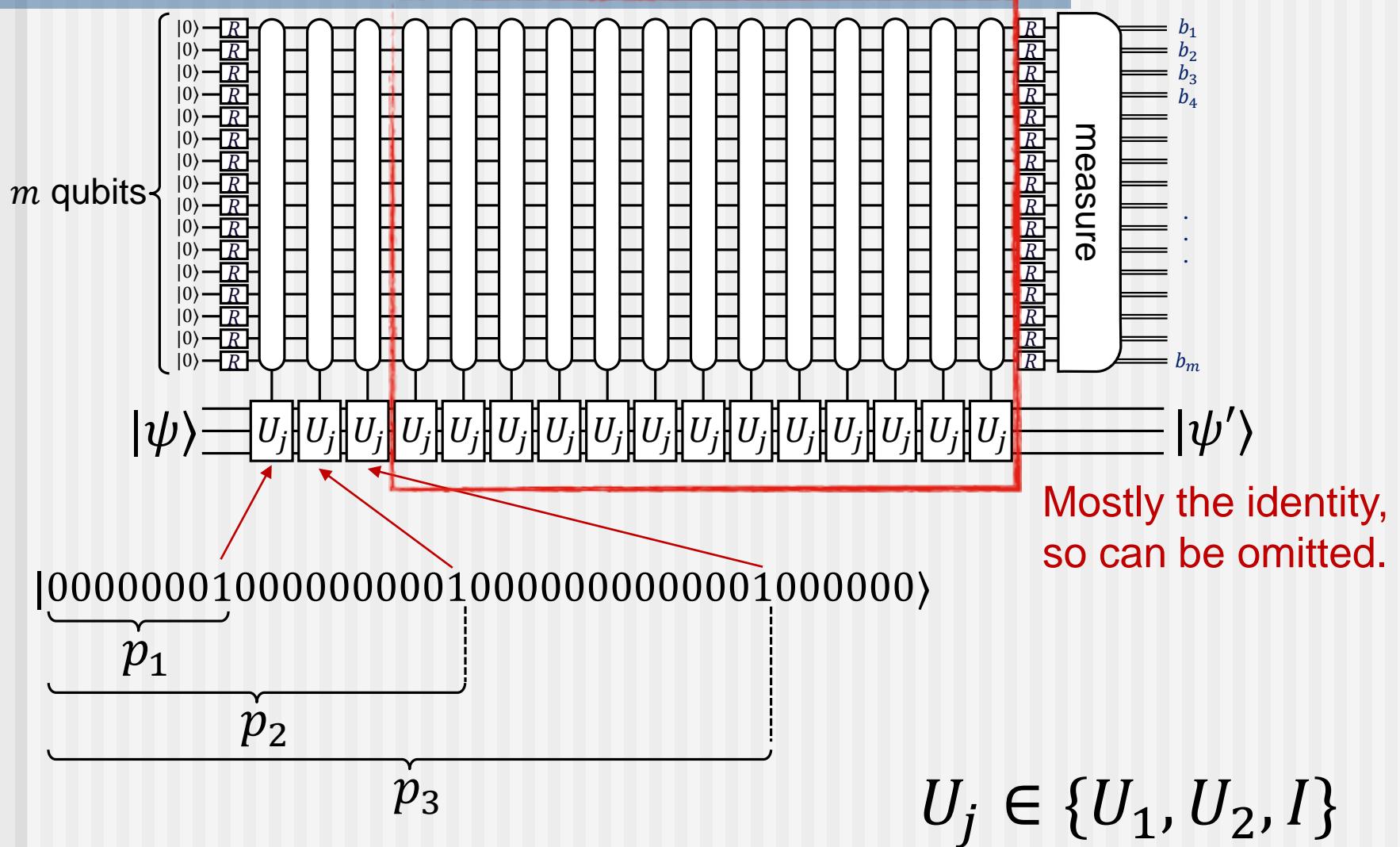
$|0000000100000000010000000000001000000\rangle$

$p_1$

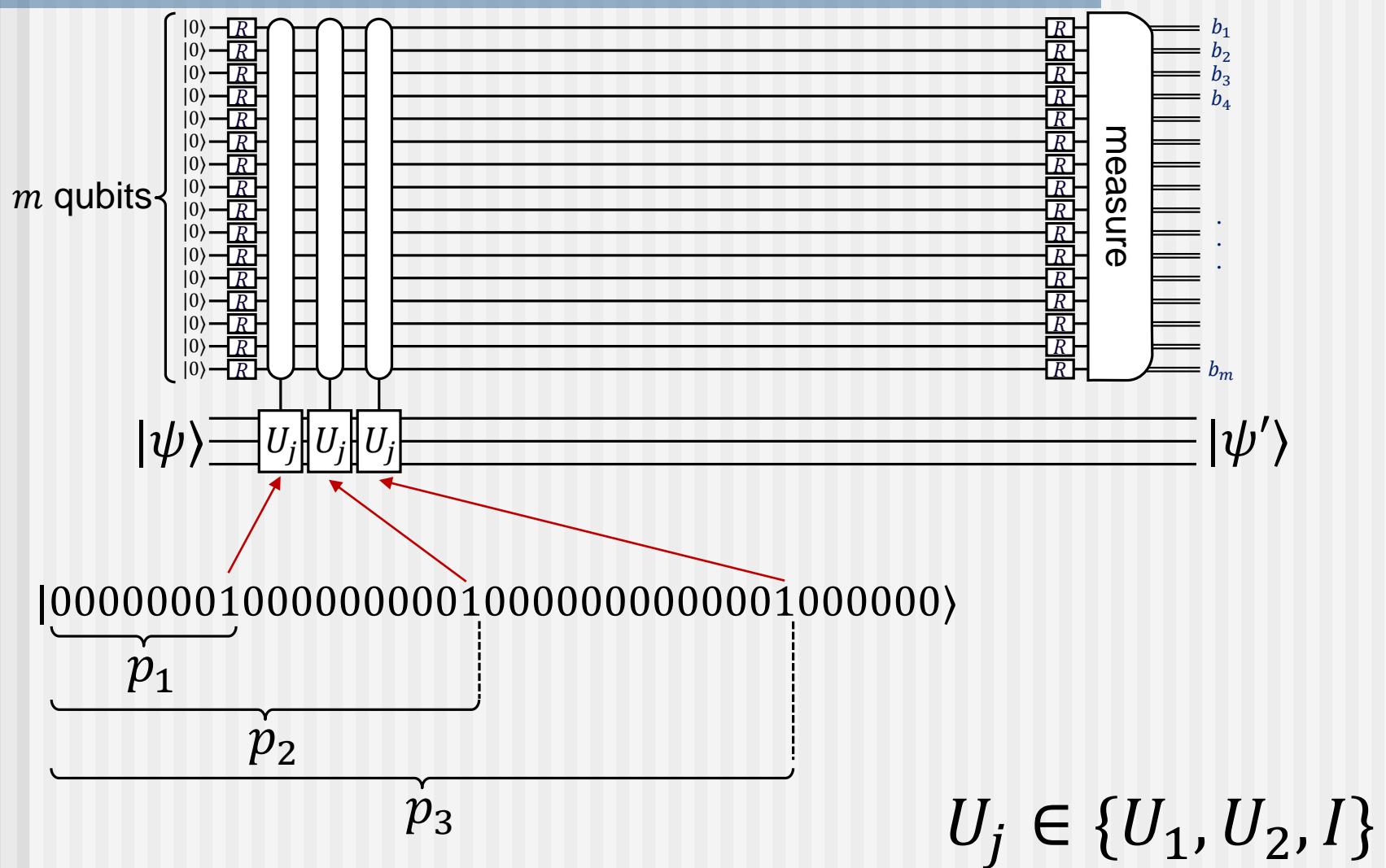
$p_2$

$p_3$

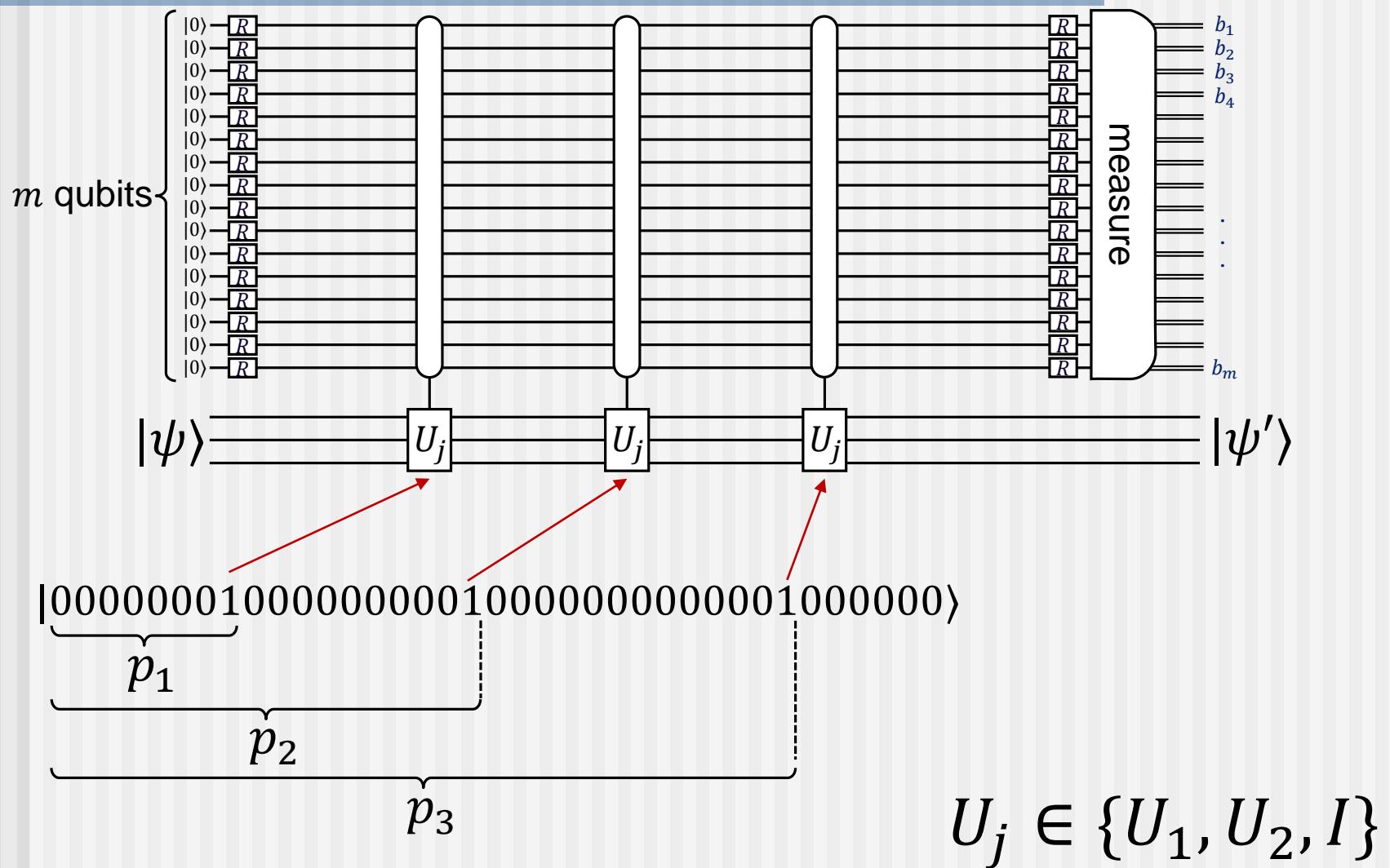
# Simulation of segments



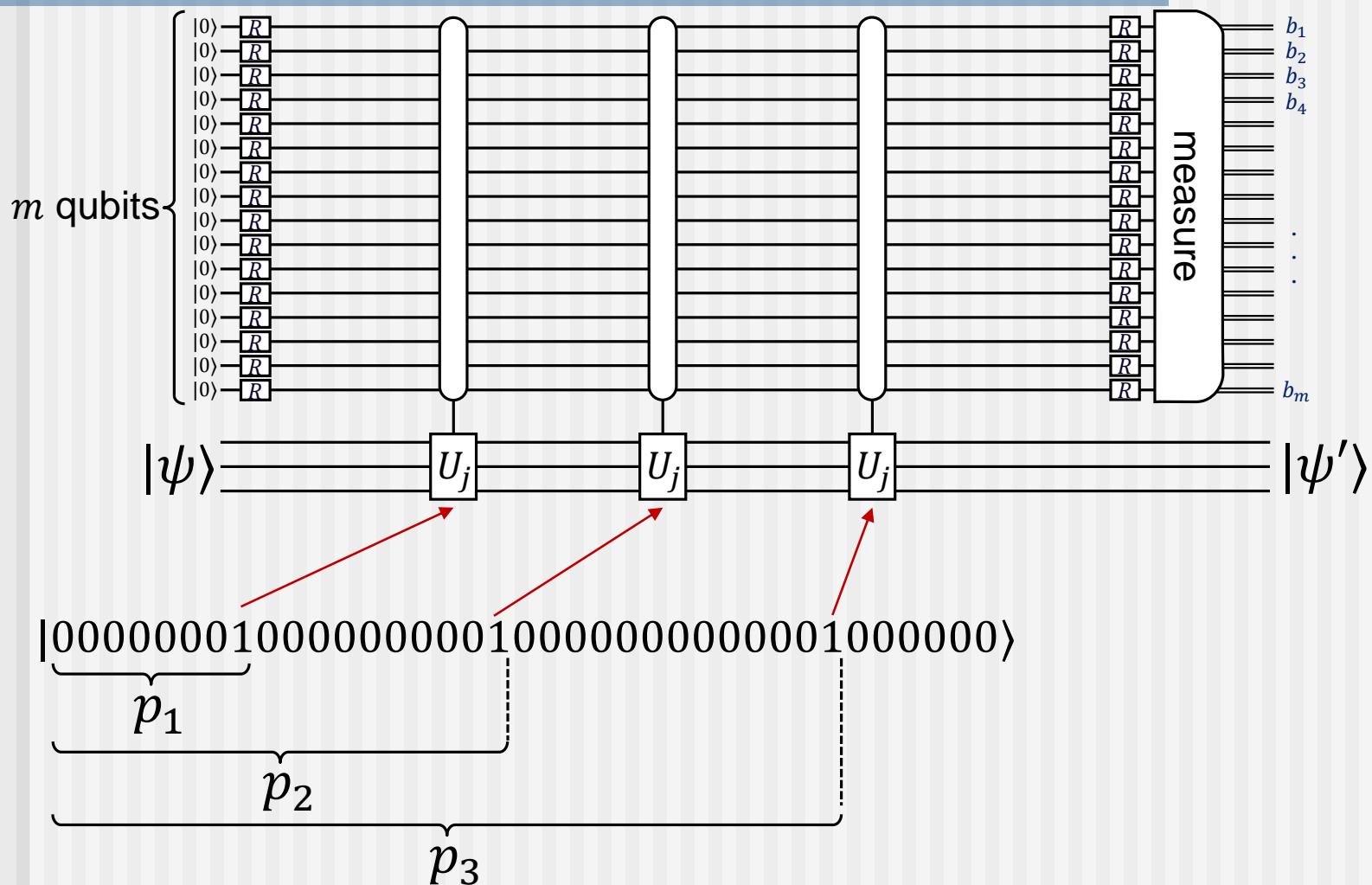
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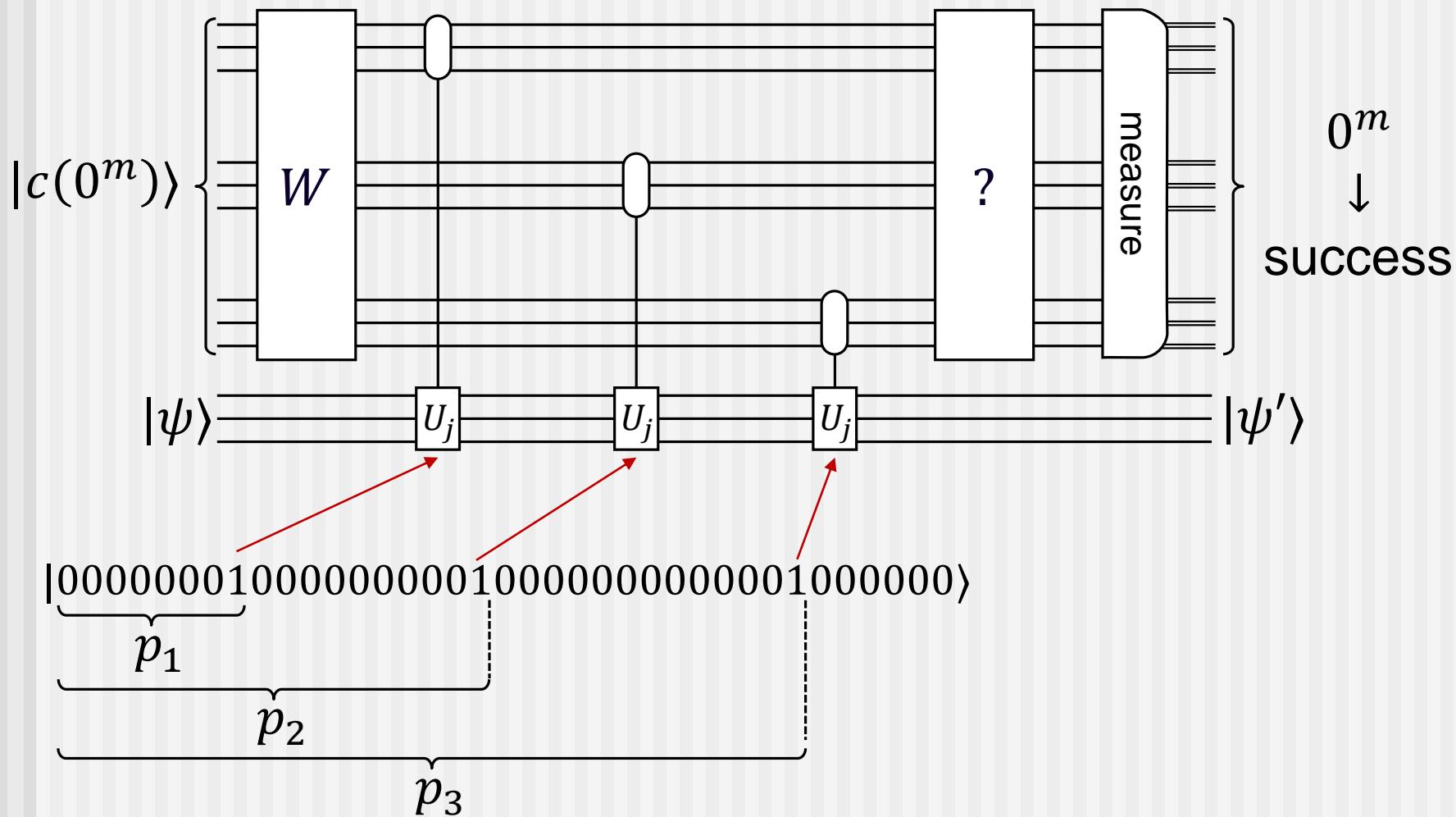


# Compression of control qubits



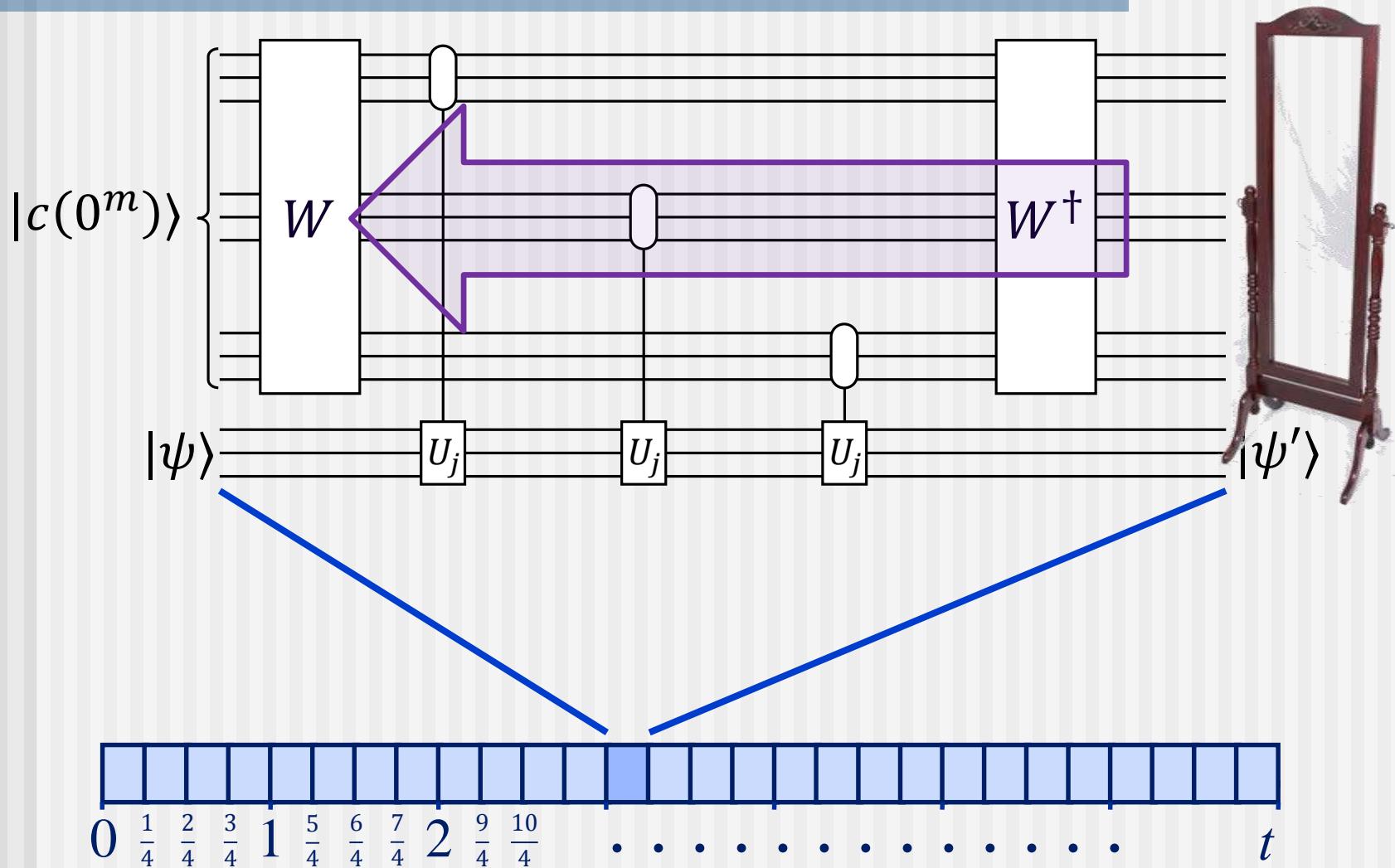
**Compressed form:**  $|c(x)\rangle = |p_1\rangle \dots |p_{|x|}\rangle |m\rangle^{k-|x|}$

# Compression of control qubits

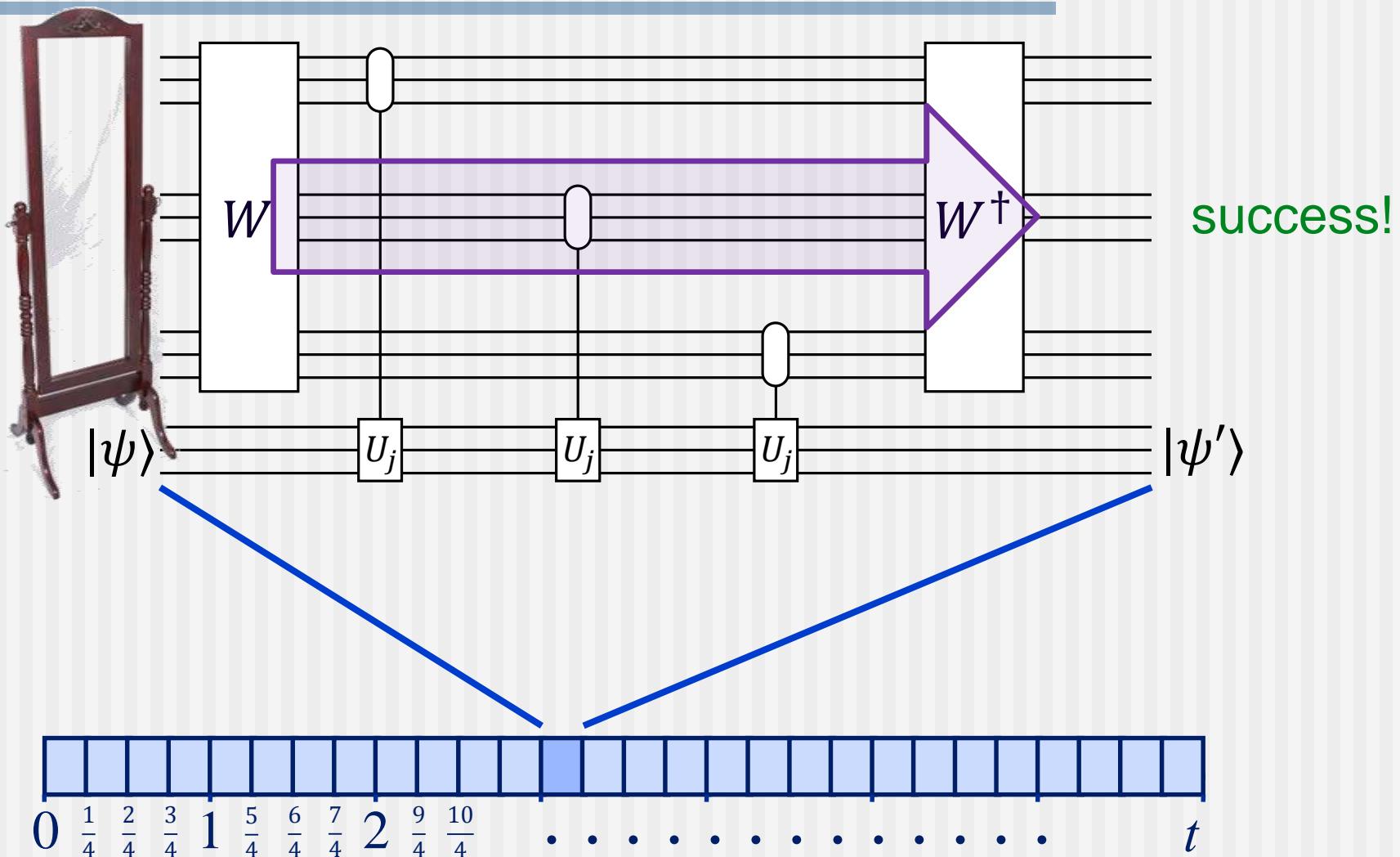


**Compressed form:**  $|c(x)\rangle = |p_1\rangle \dots |p_{|x|}\rangle |m\rangle^{k-|x|}$

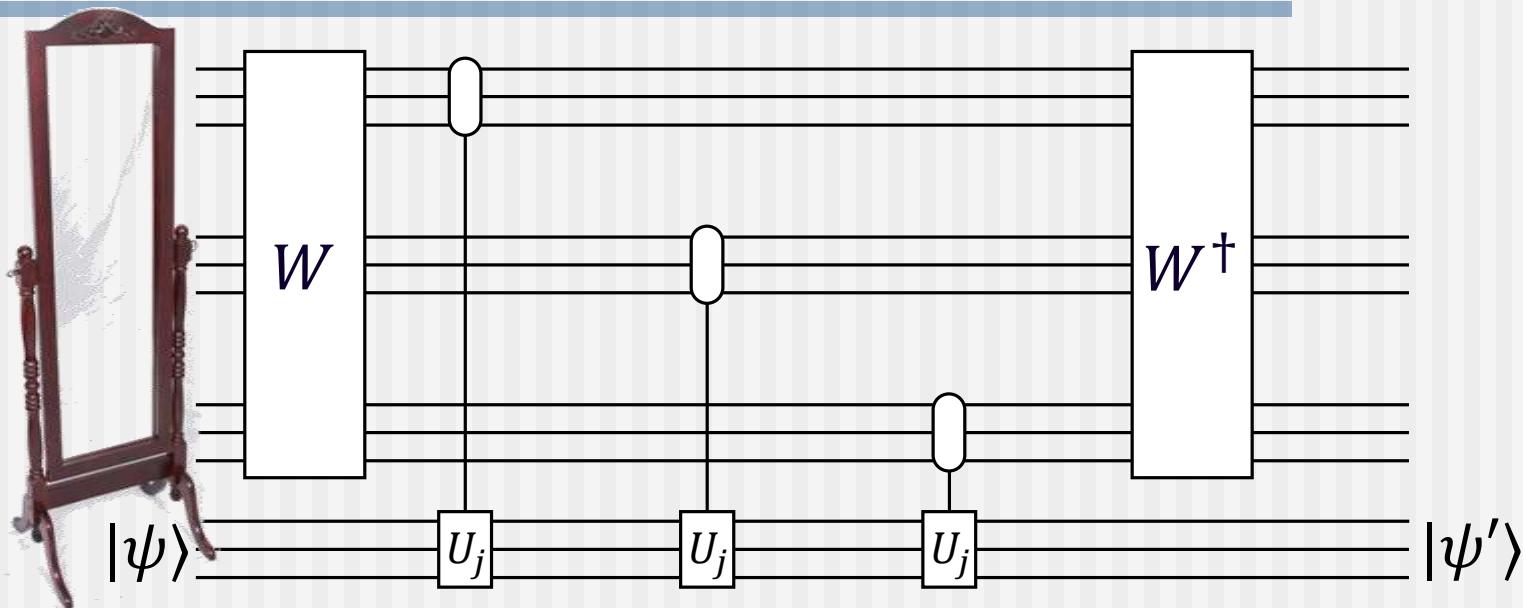
# Oblivious amplitude amplification



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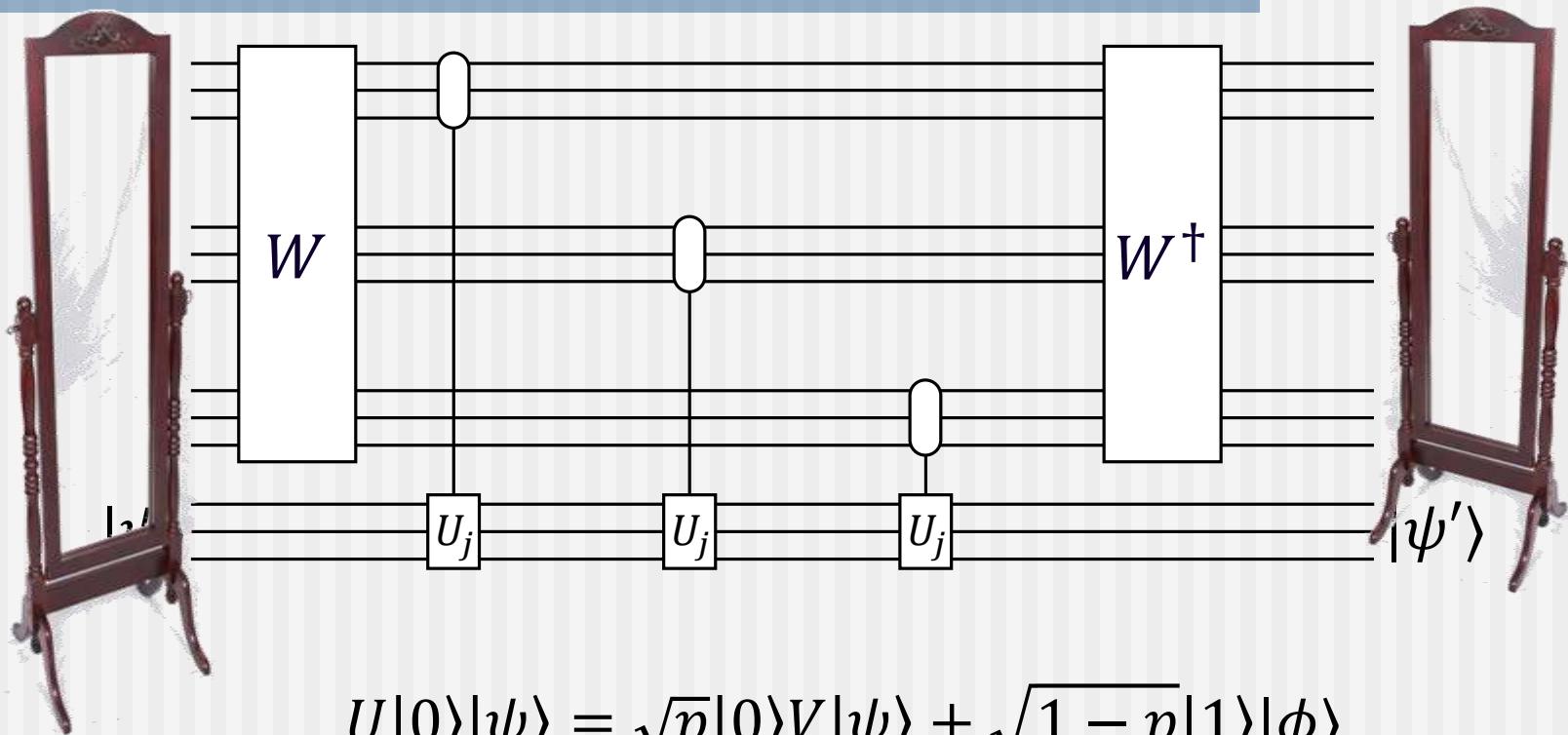
$$U|0\rangle|\psi\rangle = \sqrt{p}|0\rangle V|\psi\rangle + \sqrt{1-p}|1\rangle|\phi\rangle$$

Operation we know  
how to perform

Operation we want  
to perform

- **Standard amplitude amplification:** Need to reflect about  $U|0\rangle|\psi\rangle$ .

# Oblivious amplitude amplification



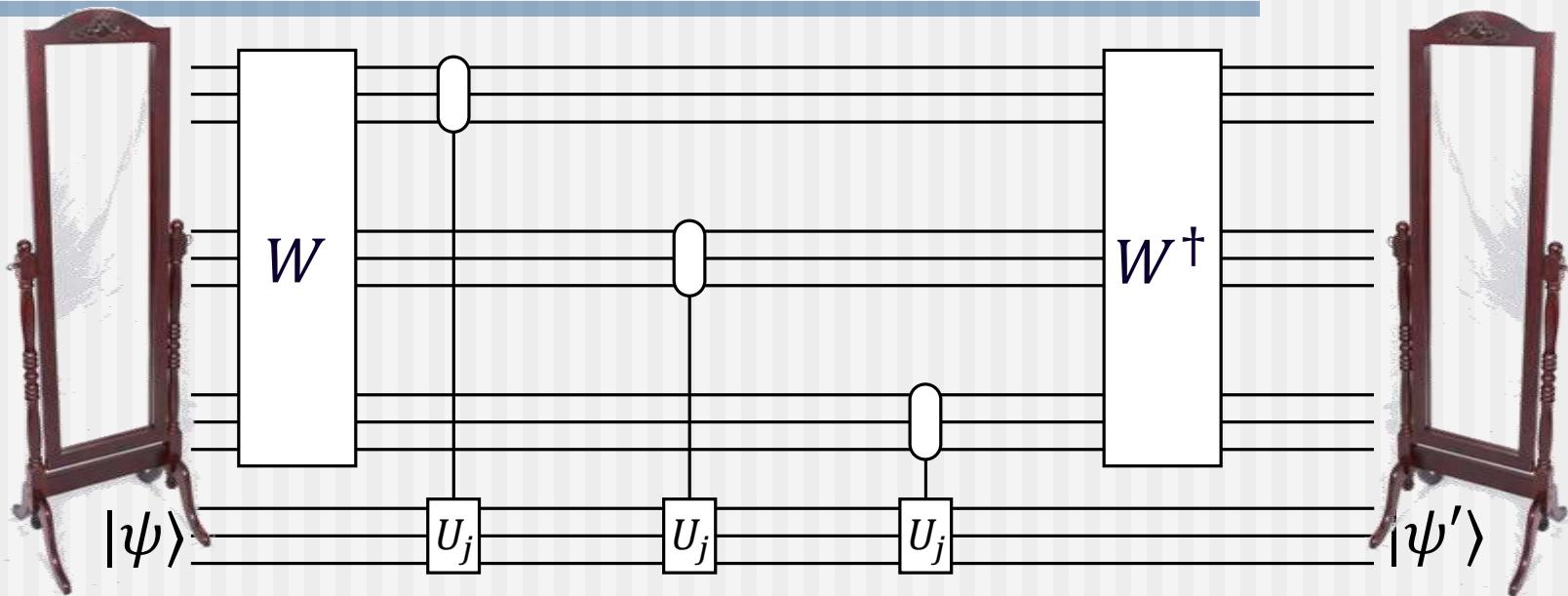
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- **Standard amplitude amplification:** Need to reflect about  $U|0\rangle|\psi\rangle$ .

# Oblivious amplitude amplification



$$U|0\rangle|\psi\rangle = \sqrt{p}|0\rangle V|\psi\rangle + \sqrt{1-p}|1\rangle|\phi\rangle$$

Operation we know  
how to perform

Operation we want  
to perform

- **Oblivious amplitude amplification:** Only do reflections on first register.

# Advanced methods

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# Implementing Taylor series

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- The Hamiltonian evolution can be expanded in Taylor series:

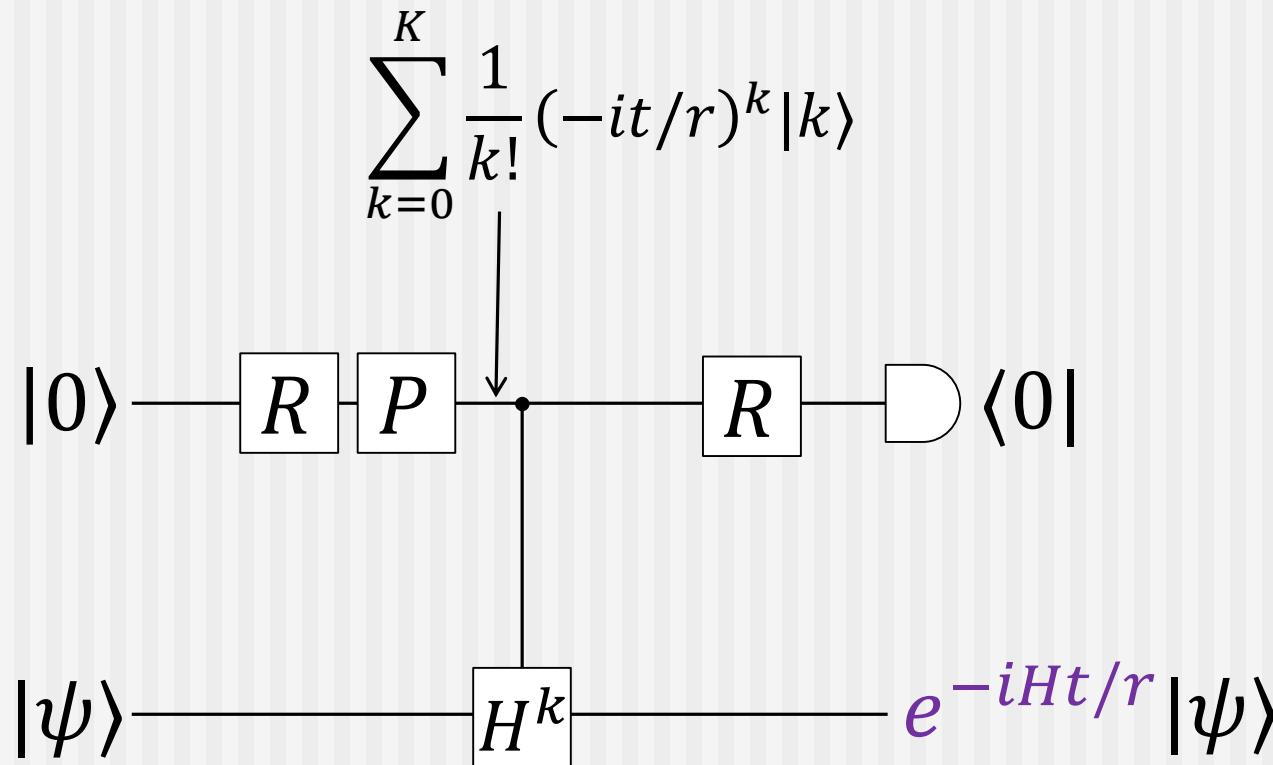
$$U = \exp(-iHt) = \sum_{k=0}^{\infty} \frac{1}{k!} (-iHt)^k$$

- For  $r$  segments, we would want

$$U_r = \exp(-iHt/r) \approx \sum_{k=0}^K \frac{1}{k!} (-iHt/r)^k$$

# Implementing Taylor series

- If  $H$  is unitary, can probabilistically implement using controlled operation.



# Implementing Taylor series

- In reality  $H$  is (approximately) a sum of unitaries

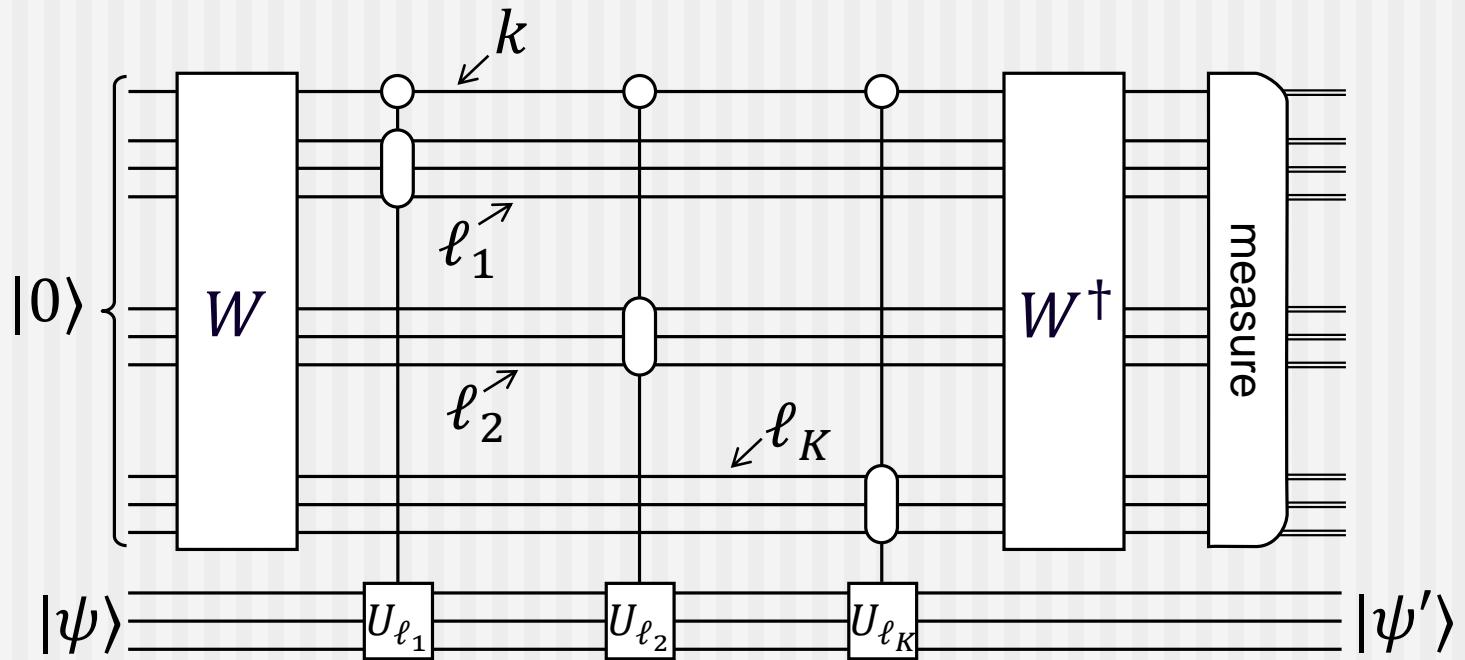
$$H \approx \gamma \sum_{\ell=1}^M U_\ell$$

- Exponential is then

$$\exp(-iHt/r) \approx \sum_k \sum_{\ell_1=1}^M \sum_{\ell_2=1}^M \cdots \sum_{\ell_k=1}^M \frac{(-it/r)^k}{k!} U_{\ell_1} U_{\ell_2} \cdots U_{\ell_k}$$

- We can again implement using controlled operations.

# Implementing a Taylor series



- A measurement result of 0 corresponds to success.
- This can be performed deterministically using oblivious amplitude amplification.

# Advanced methods

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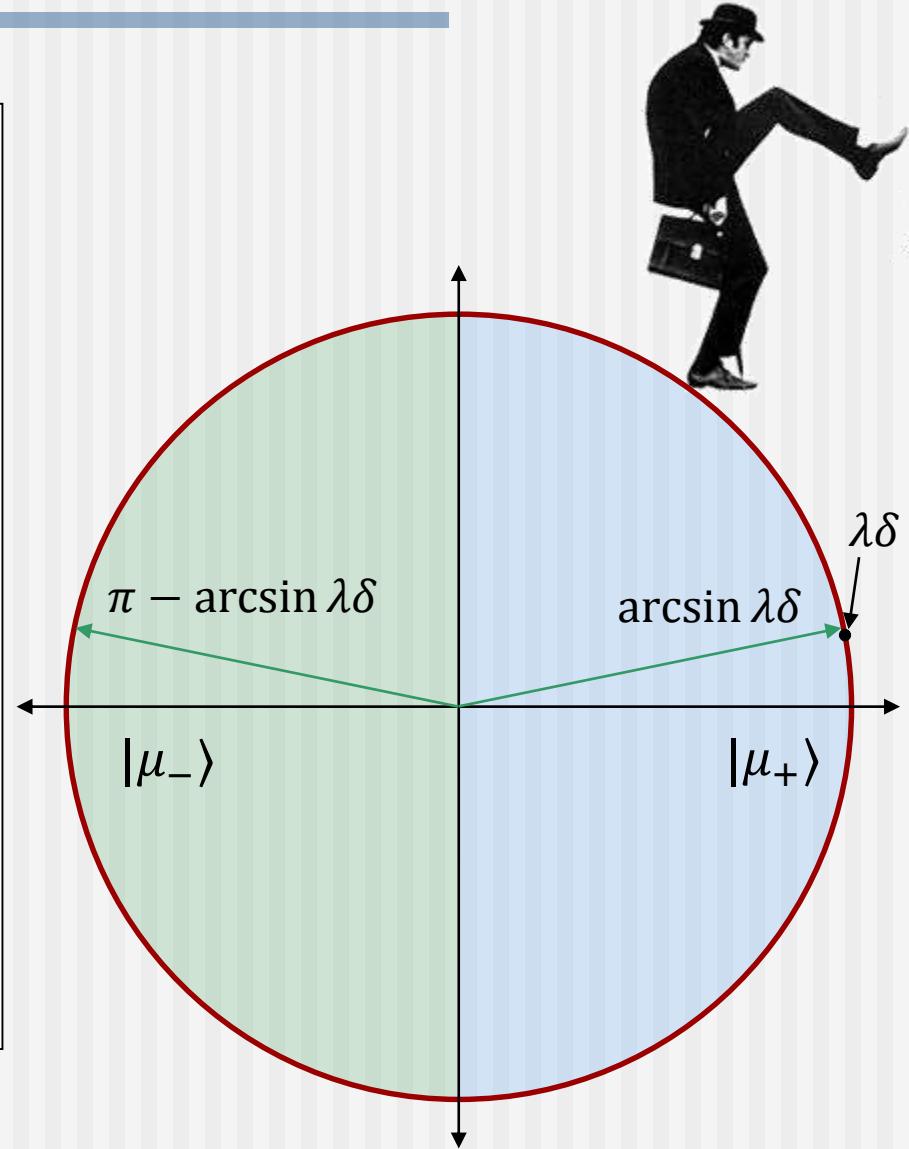
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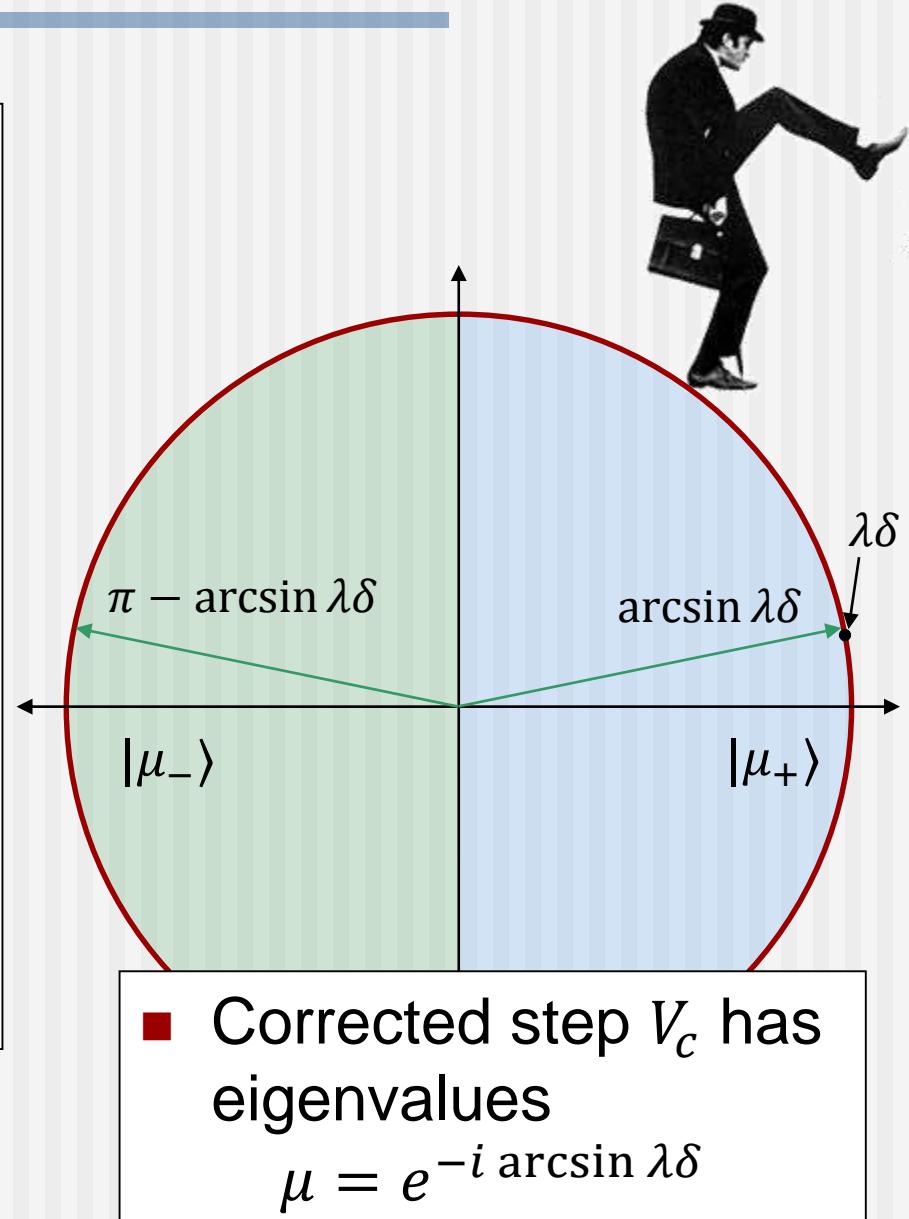
# Superposition of quantum walk

- A Hamiltonian  $H$  has eigenvalues  $\lambda$ .
- $V$  is the step of a quantum walk, and has eigenvalues  $\mu_{\pm} = \pm e^{\pm i \arcsin \lambda \delta}$
- We aim to achieve evolution under the Hamiltonian. It has eigenvalues  $e^{-i\lambda t}$



# Superposition of quantum walk

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# Superposition of quantum walk

- We have

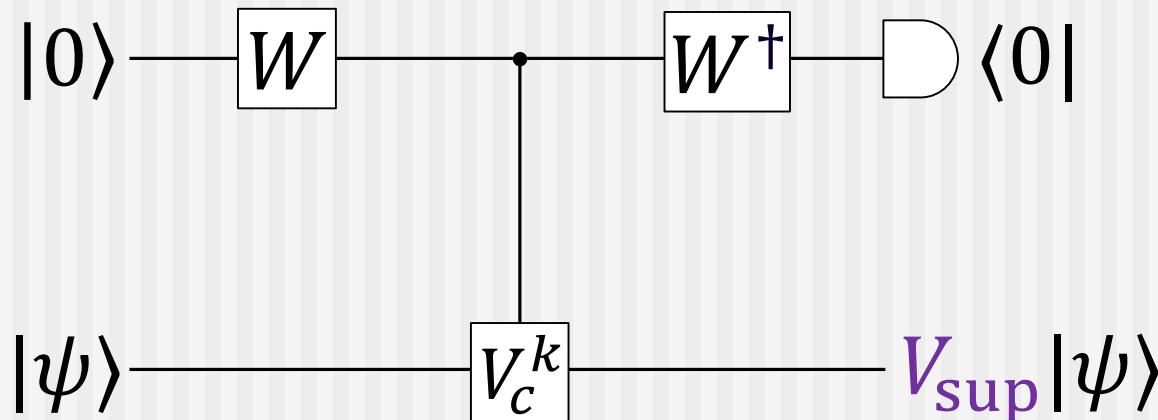
$$\mu = e^{-i \arcsin \lambda \delta}$$

- We aim for

$$e^{-i\lambda t}$$

- Try superposition of operations

$$V_{\text{sup}} = \sum_{k=0}^K \alpha_k V_c^k$$



# Solving for $\alpha_k$

- We have

$$\mu = e^{-i \arcsin \lambda \delta}$$

- We aim for

$$e^{-i\lambda t}$$

- Try superposition of operations

$$V_{\text{sup}} = \sum_{k=0}^K \alpha_k V_c^k$$



- The eigenvalues of  $V_{\text{sup}}$  are

$$\mu_{\text{sup}} = \sum_{k=0}^K \alpha_k \mu^k$$

- We can solve for  $\alpha_k$  such that

$$\mu_{\text{sup}} = e^{-it\lambda} + O((t\lambda)^{K+1})$$

- Symmetry is better:

$$\mu_{\text{sup}} = \sum_{k=-K}^K \alpha_k \mu^k$$

- Then we can get

$$\mu_{\text{sup}} = e^{-it\lambda} + O((t\lambda)^{2K+1})$$

# Analytic formula for $\alpha_k$



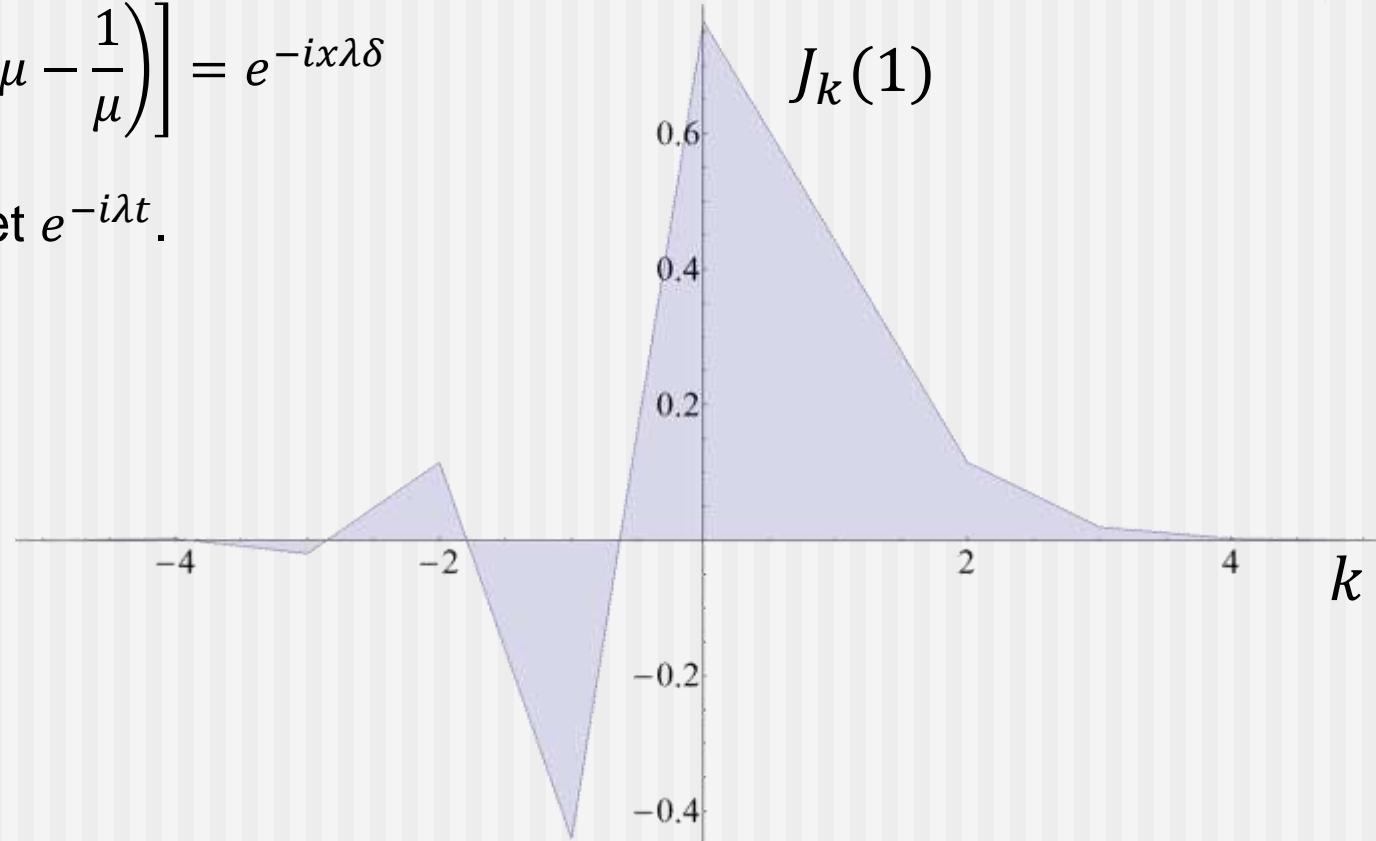
- The generating function for Bessel functions is:

$$\sum_{k=-\infty}^{\infty} J_k(x) \mu^k = \exp \left[ \frac{x}{2} \left( \mu - \frac{1}{\mu} \right) \right]$$

- For  $\mu = e^{-i \arcsin \lambda \delta}$  this gives us what we want:

$$\exp \left[ \frac{x}{2} \left( \mu - \frac{1}{\mu} \right) \right] = e^{-ix\lambda\delta}$$

- Take  $x = t/\delta$  to get  $e^{-i\lambda t}$ .



# Analytic formula for $\alpha_k$



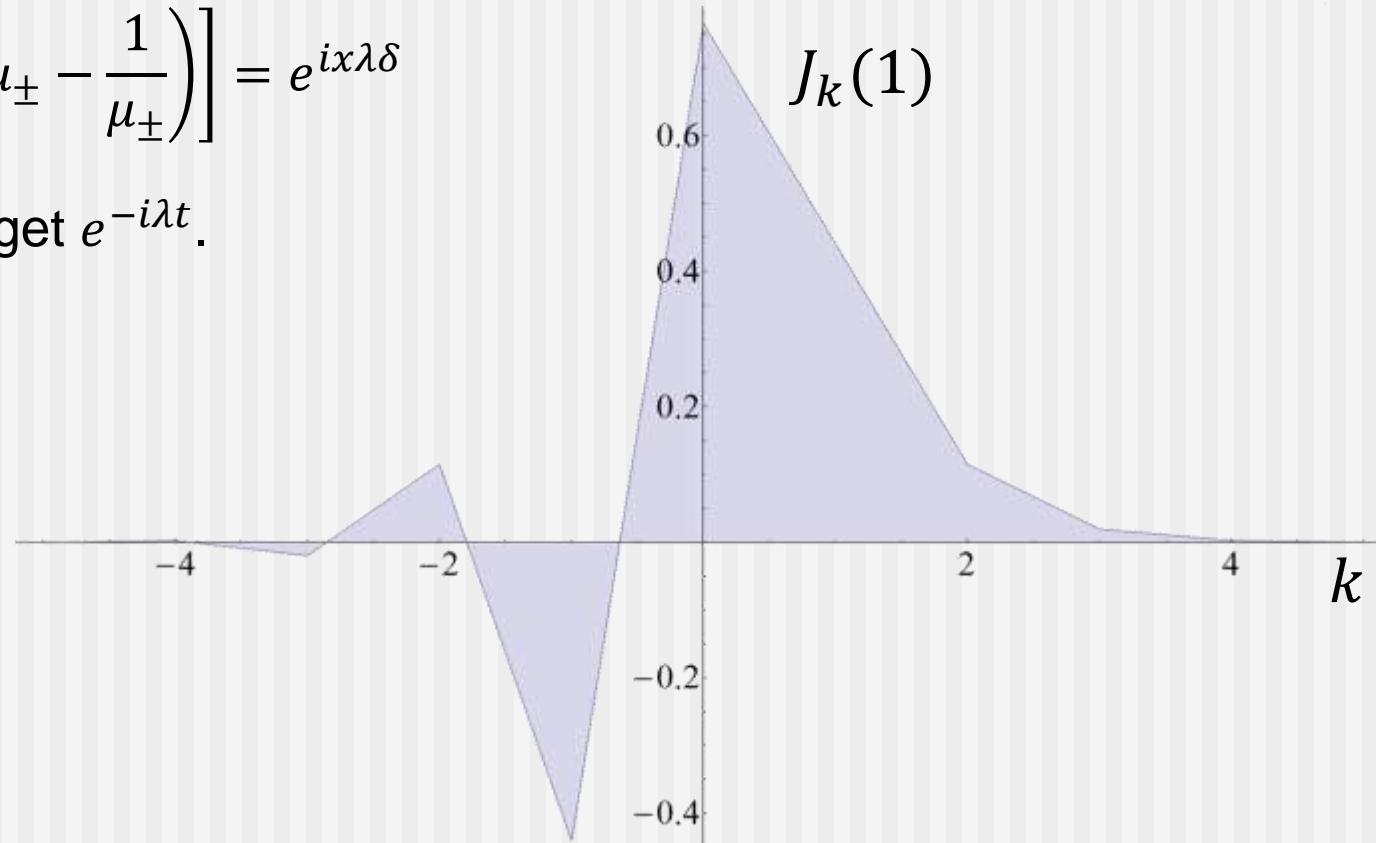
- The generating function for Bessel functions is:

$$\sum_{k=-\infty}^{\infty} J_k(x)\mu^k = \exp\left[\frac{x}{2}\left(\mu - \frac{1}{\mu}\right)\right]$$

- For  $\mu_{\pm} = \pm e^{\pm i \arcsin \lambda \delta}$  we have:

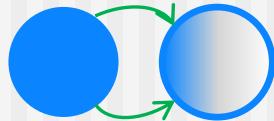
$$\exp\left[\frac{x}{2}\left(\mu_{\pm} - \frac{1}{\mu_{\pm}}\right)\right] = e^{ix\lambda\delta}$$

- Take  $x = -t/\delta$  to get  $e^{-i\lambda t}$ .



# The complete algorithm

- Map into doubled Hilbert space.

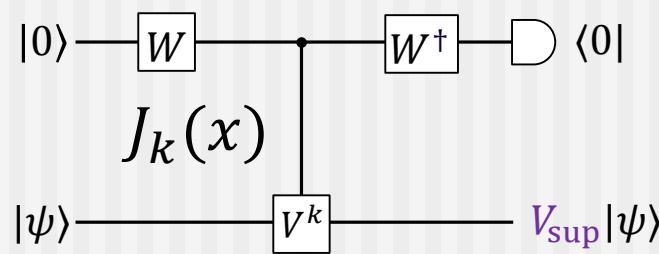


- Divide the time into  $d\|H\|_{\max}t$  segments.

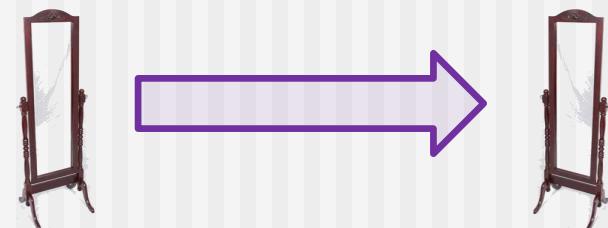


- For each segment:

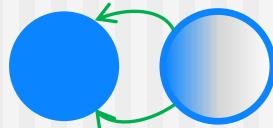
1. Perform the superposition.



2. Use amplitude amplification to obtain success deterministically.



- Map back to original Hilbert space.



Total complexity:  $d\|H\|_{\max}t \times K$

# Choosing the value of $K$

- Bessel function may be bounded as

$$J_k(x) \leq \frac{1}{k!} \left(\frac{x}{2}\right)^k$$

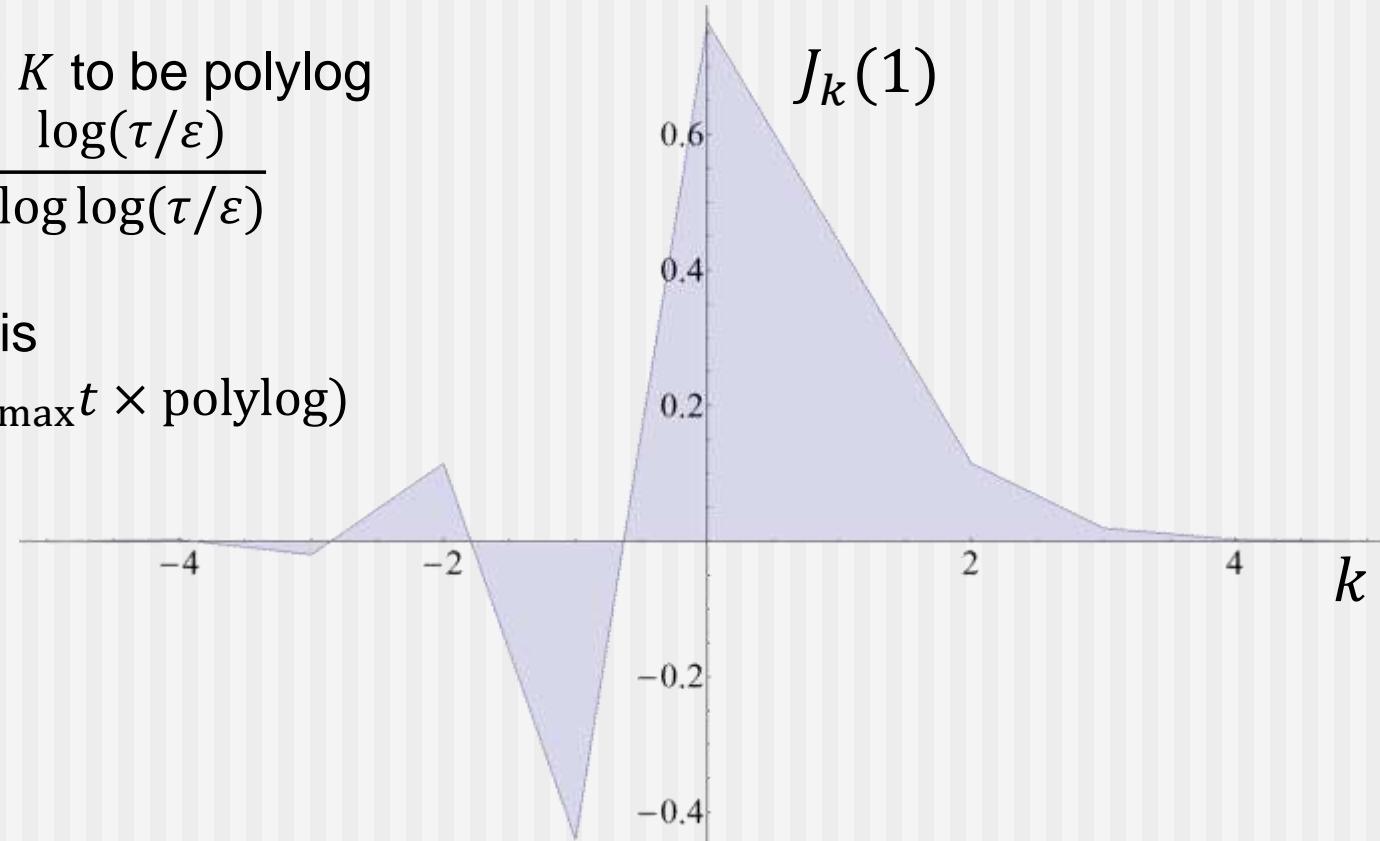
- Scaling is the same as for Taylor series!

- We can choose  $K$  to be polylog

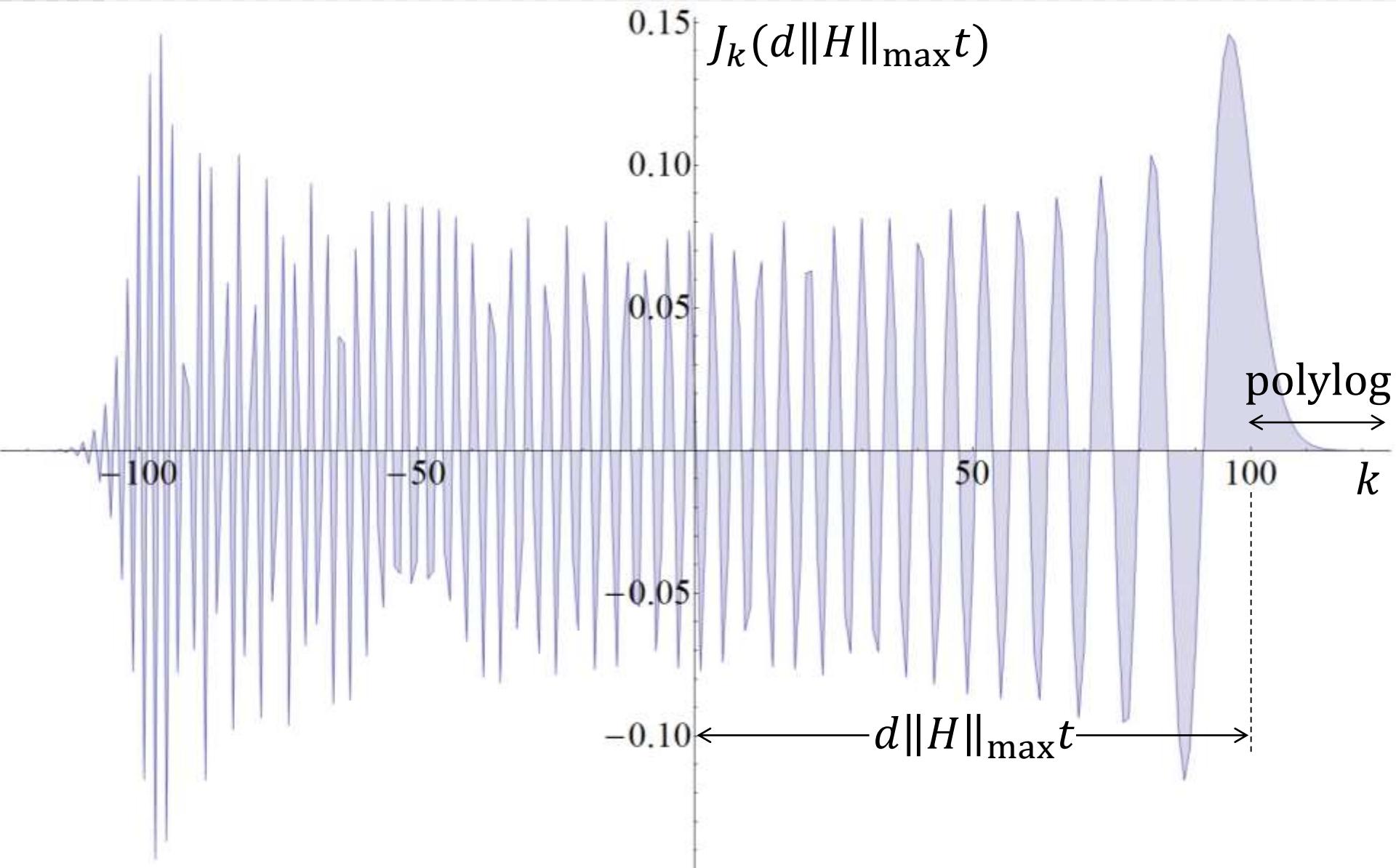
$$K \sim \frac{\log(\tau/\varepsilon)}{\log \log(\tau/\varepsilon)}$$

- Overall scaling is

$$O(d\|H\|_{\max} t \times \text{polylog})$$



# Single-segment approach



# Conclusions

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- We have complexity of sparse Hamiltonian simulation scaling as

$$O(d\|H\|_{\max} t \times \text{polylog})$$

- The lower bound is scaling as

$$O(d\|H\|_{\max} t + \text{polylog})$$

- The method combines the quantum walk and compressed product formula approaches.

